CS 4644-DL / 7643-A: LECTURE 10 DANFEI XU

Topics:

- Convolutional Neural Networks Architectures (cont.)
- Training Neural Networks (Part 1)

Administrative

- PS2/HW2 out : Most difficult assignment. Start early!
- Project proposal due Sep 27th

CNN Architectures

Case Studies

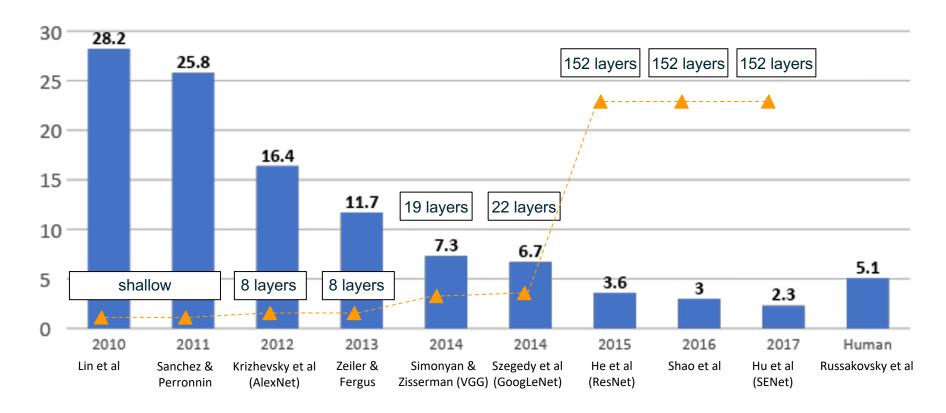
- AlexNet
- VGG
- GoogLeNet
- ResNet

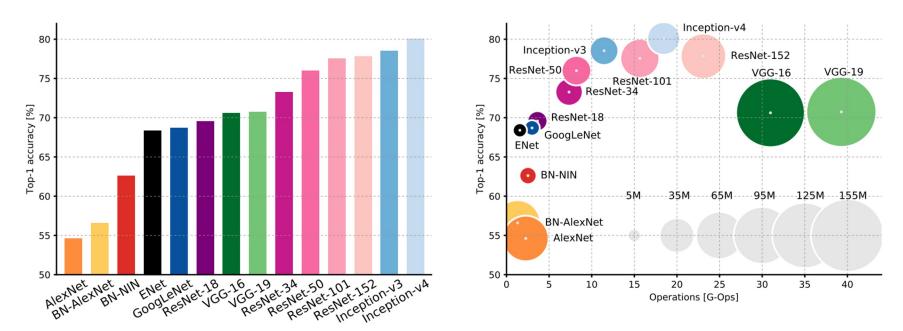
Also....

- SENet
- Wide ResNet
- ResNeXT

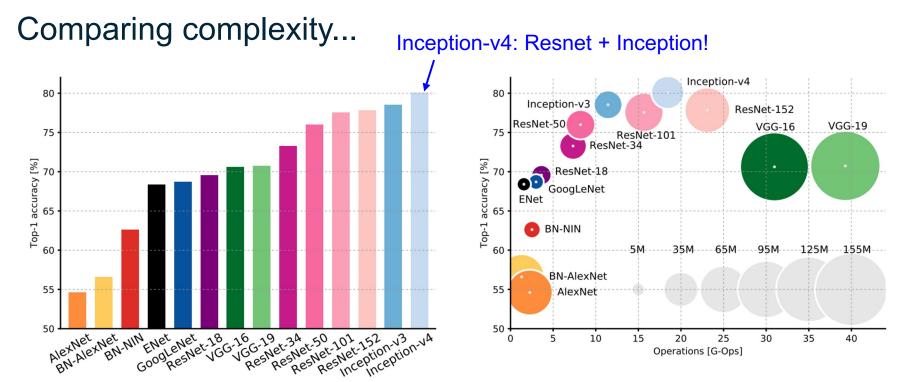
- DenseNet
- MobileNets
- NASNet
- EfficientNet

ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners

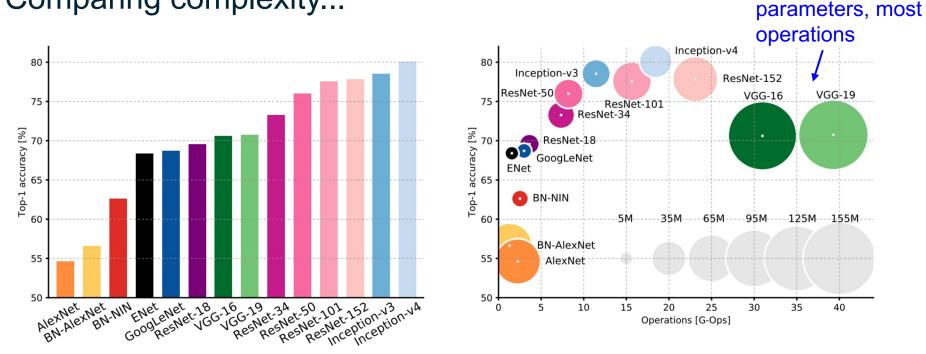




An Analysis of Deep Neural Network Models for Practical Applications, 2017.



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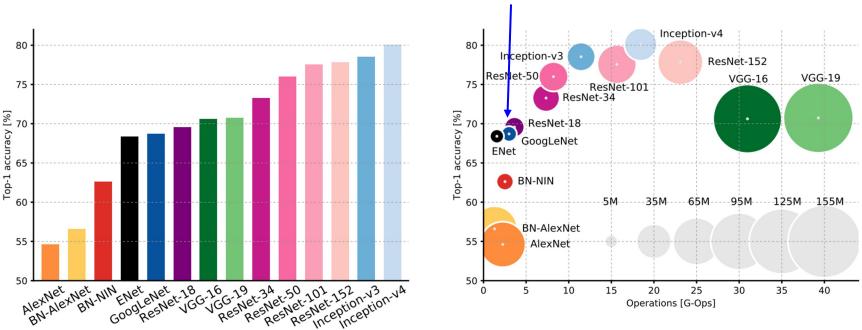


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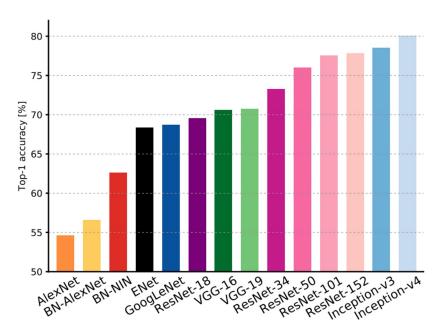
Figures copyright Alfredo Canziani, Adam Paszke, Eugenio Culurciello, 2017. Reproduced with permission.

VGG: most

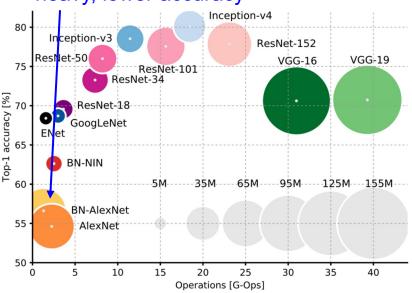




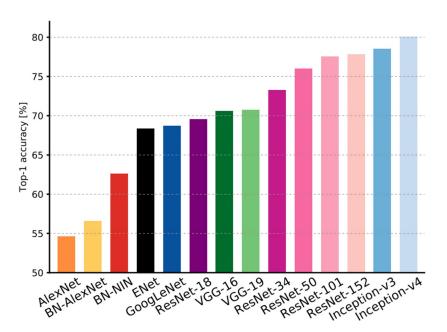
An Analysis of Deep Neural Network Models for Practical Applications, 2017.



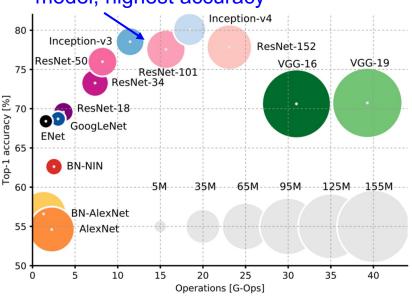
AlexNet: Smaller compute, still memory heavy, lower accuracy



An Analysis of Deep Neural Network Models for Practical Applications, 2017.

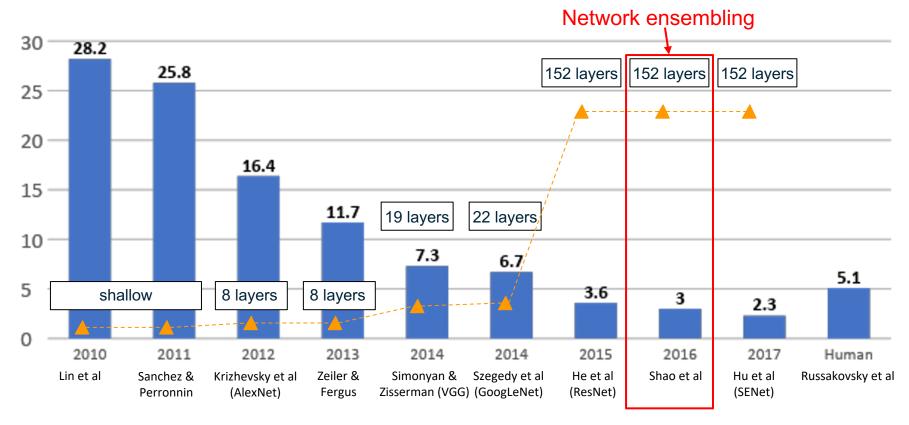


ResNet: Moderate efficiency depending on model, highest accuracy



An Analysis of Deep Neural Network Models for Practical Applications, 2017.

ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners



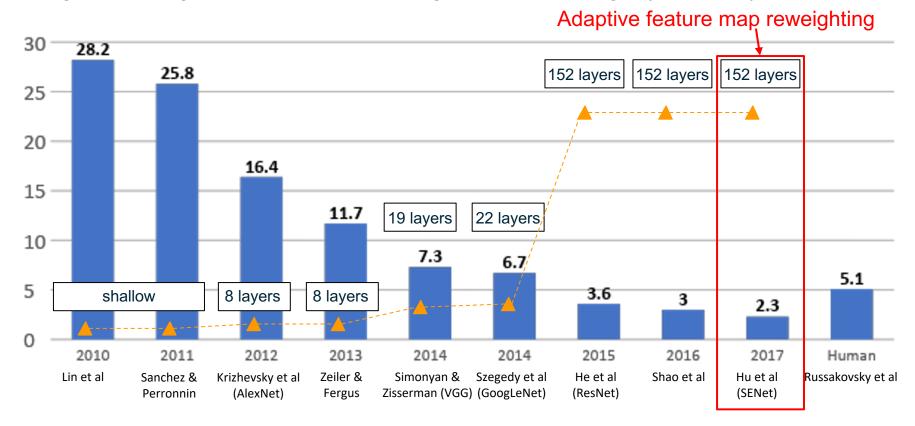
"Good Practices for Deep Feature Fusion"

[Shao et al. 2016]

- Multi-scale ensembling of Inception, Inception-Resnet, Resnet,
 Wide Resnet models
- ILSVRC'16 classification winner

	Inception- v3	Inception- v4	Inception- Resnet-v2		Wrn-68-3	Fusion (Val.)	Fusion (Test)
Err. (%	4.20	4.01	3.52	4.26	4.65	2.92 (-0.6)	2.99

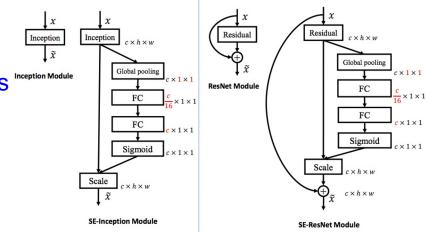
ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners

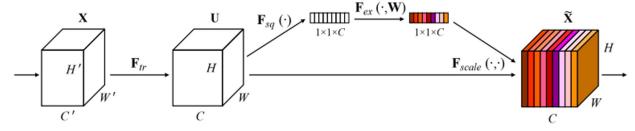


Squeeze-and-Excitation Networks (SENet)

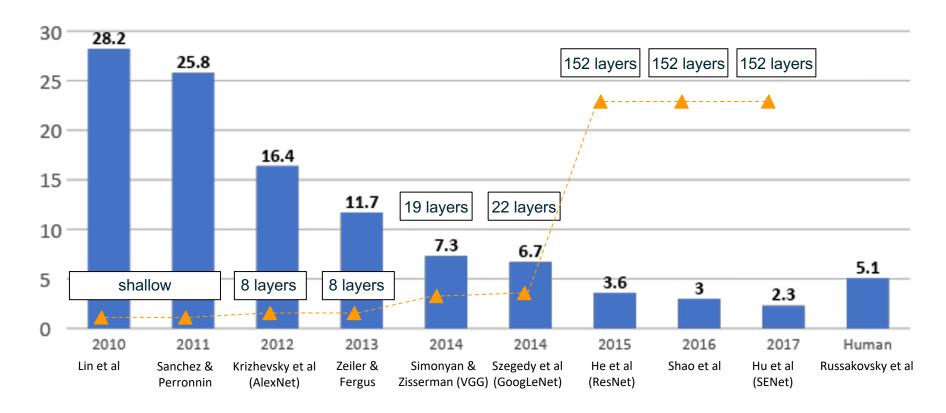
[Hu et al. 2017]

- Add a "feature recalibration" module that learns to adaptively reweight feature maps
- Global information (global avg. pooling layer) + 2 FC layers used to determine feature map weights
- ILSVRC'17 classification winner (using ResNeXt-152 as a base architecture)

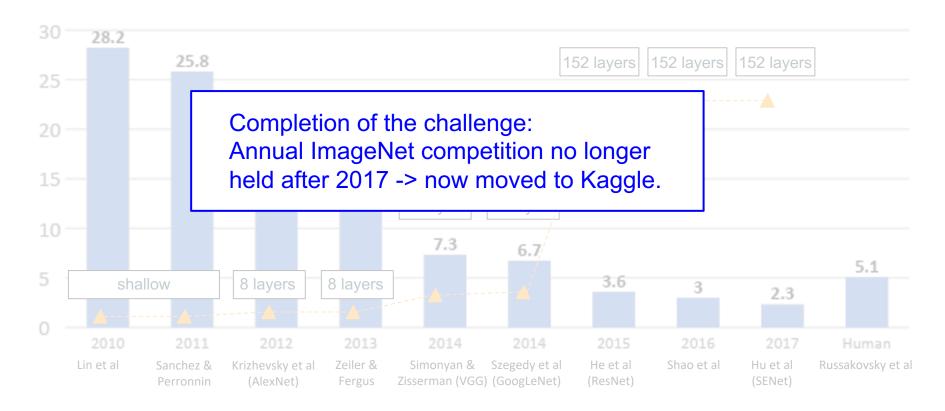




ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners



ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners

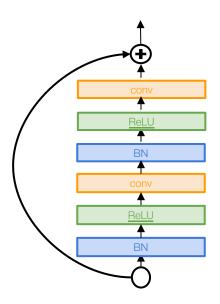


But research into CNN architectures is still flourishing

Identity Mappings in Deep Residual Networks

[He et al. 2016]

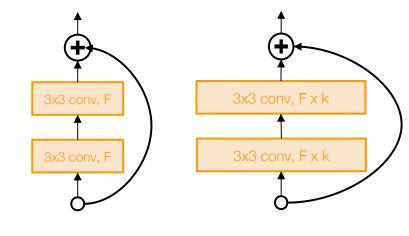
- Improved ResNet block design from creators of ResNet
- Creates a more direct path for propagating information throughout network
- Gives better performance



Wide Residual Networks

[Zagoruyko et al. 2016]

- Argues that residuals are the important factor, not depth
- User wider residual blocks (F x k filters instead of F filters in each layer)
- 50-layer wide ResNet outperforms
 152-layer original ResNet
- Increasing width instead of depth more computationally efficient (parallelizable)



Basic residual block

Wide residual block

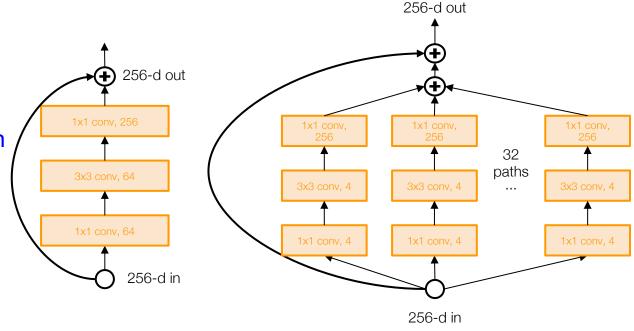
Aggregated Residual Transformations for Deep Neural Networks (ResNeXt)

[Xie et al. 2016]

 Also from creators of ResNet

 Increases width of residual block through multiple parallel pathways ("cardinality")

 Parallel pathways similar in spirit to Inception module

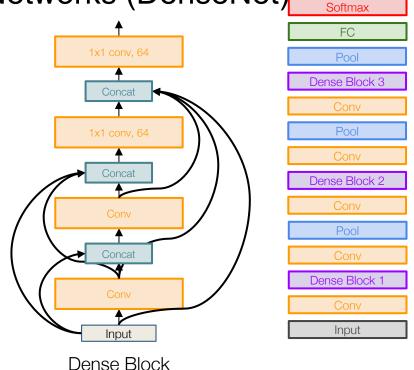


Other ideas...

Densely Connected Convolutional Networks (DenseNet)

[Huang et al. 2017]

- Dense blocks where each layer is connected to every other layer in feedforward fashion
- Alleviates vanishing gradient, strengthens feature propagation, encourages feature reuse
- Showed that shallow 50-layer network can outperform deeper 152 layer ResNet

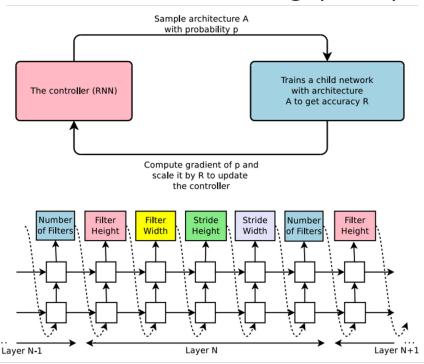


Learning to search for network architectures...

Neural Architecture Search with Reinforcement Learning (NAS)

[Zoph et al. 2016]

- "Controller" network that learns to design a good network architecture (output a string corresponding to network design)
- Iterate:
 - 1) Sample an architecture from search space
 - Train the architecture to get a "reward" R corresponding to accuracy
 - 3) Compute gradient of sample probability, and scale by R to perform controller parameter update (i.e. increase likelihood of good architecture being sampled, decrease likelihood of bad architecture)



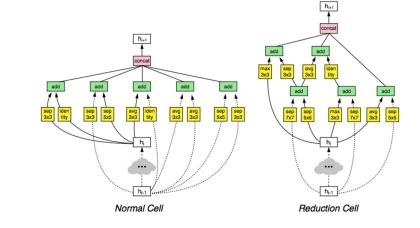
Learning to search for network architectures...

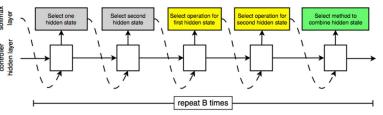
Learning Transferable Architectures for Scalable Image

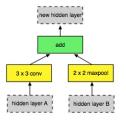
Recognition

[Zoph et al. 2017]

- Applying neural architecture search (NAS) to a large dataset like ImageNet is expensive
- Design a search space of building blocks ("cells") that can be flexibly stacked
- NASNet: Use NAS to find best cell structure on smaller CIFAR-10 dataset, then transfer architecture to ImageNet
- Many follow-up works in this space e.g. AmoebaNet (Real et al. 2019) and ENAS (Pham, Guan et al. 2018)







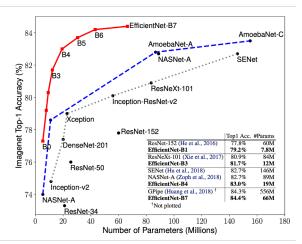
But sometimes smart heuristic is better than NAS ...

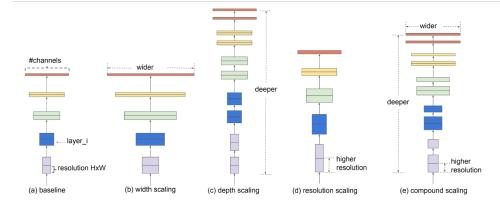
EfficientNet: Smart Compound Scaling

[Tan and Le. 2019]

- Increase network capacity by scaling width, depth, and resolution, while balancing accuracy and efficiency.
- Search for optimal set of compound scaling factors given a compute budget (target memory & flops).
- Scale up using smart heuristic rules

```
depth: d=\alpha^{\phi} width: w=\beta^{\phi} resolution: r=\gamma^{\phi} s.t. \alpha\cdot\beta^2\cdot\gamma^2\approx 2 \alpha\geq 1, \beta\geq 1, \gamma\geq 1
```

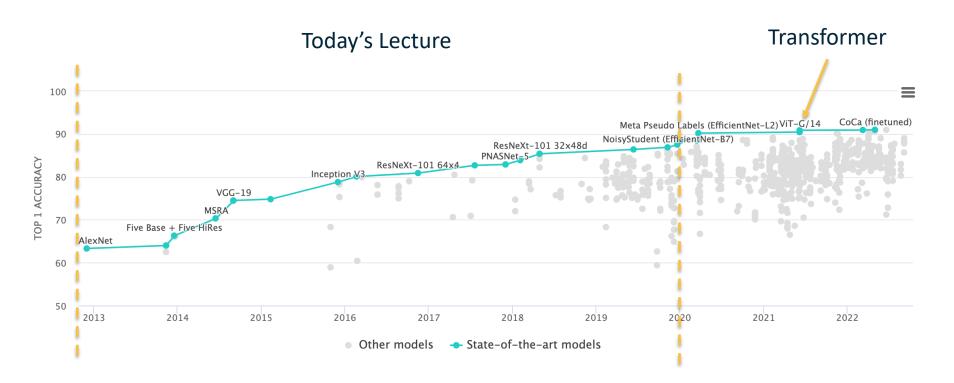




Efficient networks...



https://openai.com/blog/ai-and-efficiency/



https://paperswithcode.com/sota/image-classification-on-imagenet

What we have learned so far ...

Deep Neural Networks:

- What they are (composite parametric, non-linear functions)
- Where they come from (biological inspiration, brief history of ANN)
- How they are optimized, in principle (analytical gradient via computational graphs, backpropagation)
- What they look like in practice (Deep ConvNets for vision)

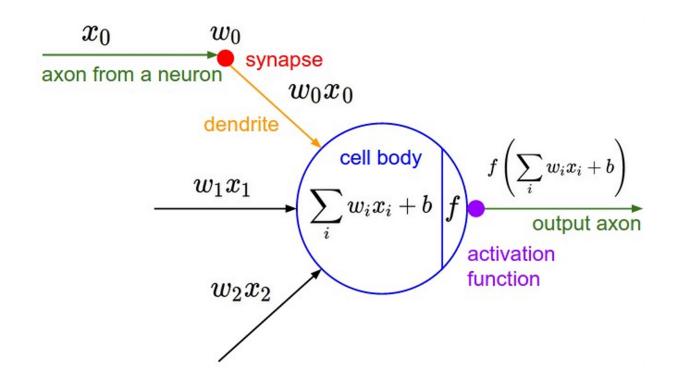
Next few lectures:

Training Deep Neural Networks

- Details of the non-linear activation functions
- Data normalization
- Weight Initialization
- Batch Normalization
- Regularization
- Advanced Optimization
- Data Augmentation
- Transfer learning
- Hyperparameter Tuning
- Model Ensemble

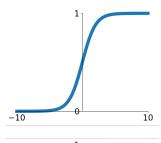
Today: Training Deep NNs (Part 1)

- Details of the non-linear activation functions
- Data normalization
- Weight Initialization



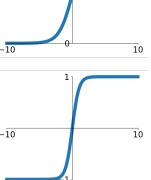
Sigmoid

$$\sigma(x) = \frac{1}{1 + e^{-x}}$$



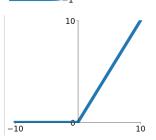
tanh

tanh(x)



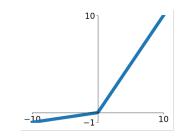
ReLU

 $\max(0, x)$



Leaky ReLU

 $\max(0.1x, x)$

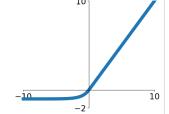


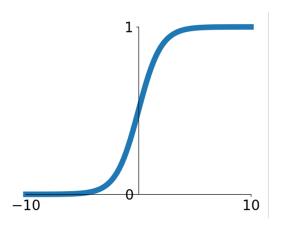
Maxout

 $\max(w_1^T x + b_1, w_2^T x + b_2)$

ELU

$$\begin{cases} x & x \ge 0 \\ \alpha(e^x - 1) & x < 0 \end{cases}$$

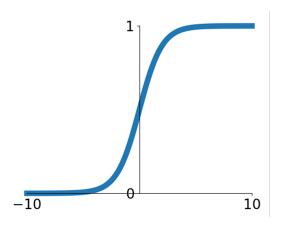




Sigmoid

$$\sigma(x) = 1/(1 + e^{-x})$$

- Squashes numbers to range [0,1]
- Historically popular since they have nice interpretation as a saturating "firing rate" of a neuron



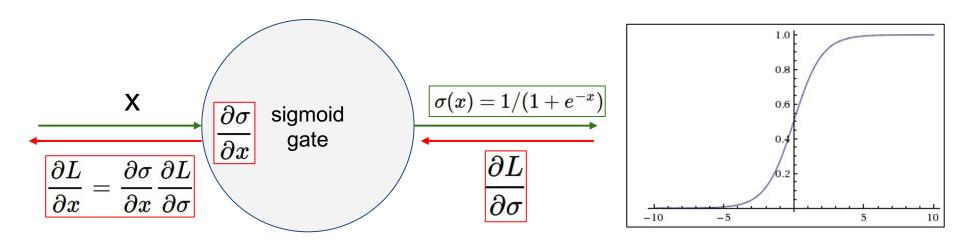
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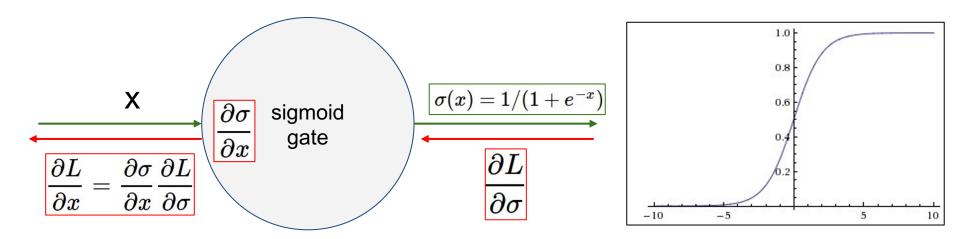
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3 problems:

1. Saturated neurons "kill" the gradients

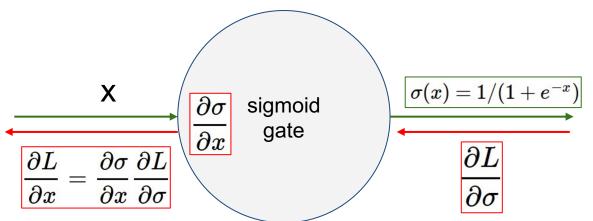


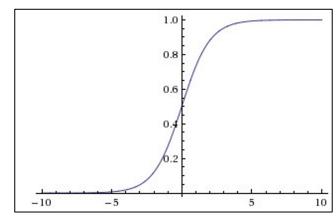
$$\frac{\partial \sigma(x)}{\partial x} = \sigma(x) \left(1 - \sigma(x) \right)$$



What happens when x = -10?

$$\frac{\partial \sigma(x)}{\partial x} = \sigma(x) \left(1 - \sigma(x) \right)$$



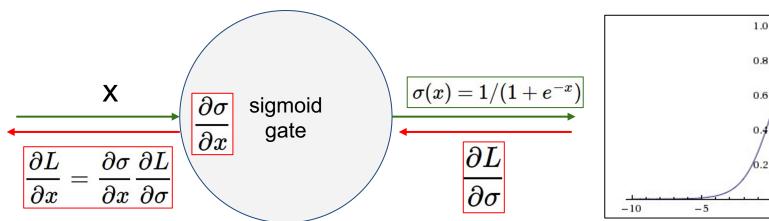


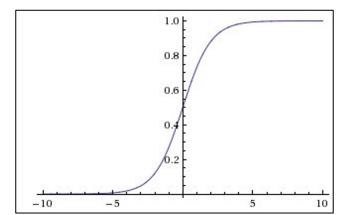
What happens when x = -10?

$$\sigma(x) = \sim 0$$

$$\frac{\partial \sigma(x)}{\partial x} = \sigma(x) \left(1 - \sigma(x) \right) = 0(1 - 0) = 0$$

$$\frac{\partial \sigma(x)}{\partial x} = \sigma(x) \left(1 - \sigma(x) \right)$$

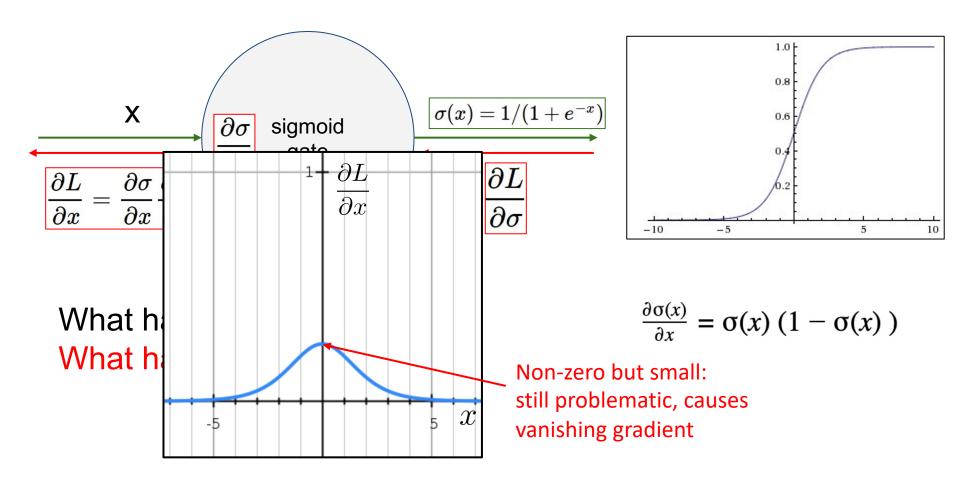


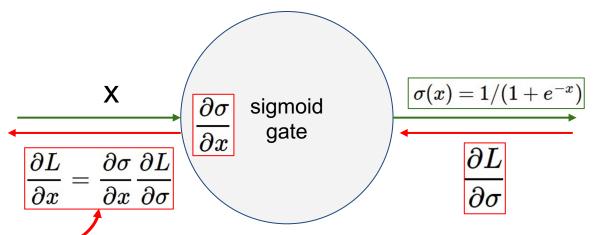


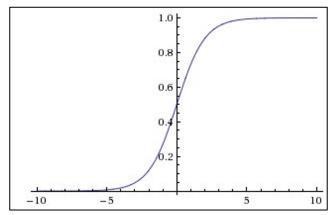
What happens when x = -10? What happens when x = 10?

$$\frac{\partial \sigma(x)}{\partial x} = \sigma(x) \left(1 - \sigma(x) \right)$$

$$\sigma(x) = -1 \qquad \frac{\partial \sigma(x)}{\partial x} = \sigma(x) \left(1 - \sigma(x) \right) = 1(1 - 1) = 0$$



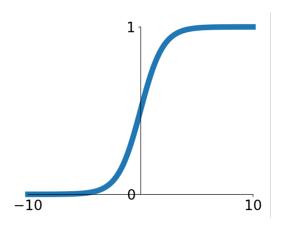




Why is this a problem?

If all the gradients flowing back will be zero and weights will never change

$$\frac{\partial \sigma(x)}{\partial x} = \sigma(x) \left(1 - \sigma(x) \right)$$



Sigmoid

$$\sigma(x) = 1/(1 + e^{-x})$$

- Squashes numbers to range [0,1]
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3 problems:

- 1. Saturated neurons "kill" the gradients
- 2. Sigmoid outputs are not zerocentered

$$f\left(\sum_i w_i x_i + b\right)$$

axon from a neuron w_0x_0 w_0x_0 w_1x_1 x_1 x_2 x_3 x_4 x_4 x_5 x_4 x_5 x_5 x_6 x_6

What can we say about the gradients on w?

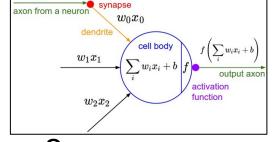
$$f\left(\sum_i w_i x_i + b
ight)$$

axon from a neuron w_0x_0 dendrite w_1x_1 $\sum_i w_ix_i + b$ output axon activation function

What can we say about the gradients on w?

$$rac{\partial L}{\partial w} = \sigma(\sum_i w_i x_i + b)(1 - \sigma(\sum_i w_i x_i + b))x imes upstream_gradient$$

$$f\left(\sum_i w_i x_i + b
ight)$$

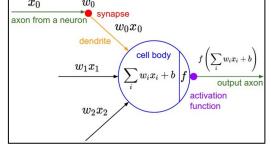


What can we say about the gradients on w?

We know that local gradient of sigmoid is always positive

$$\frac{\partial L}{\partial w} = \sigma(\sum_i w_i x_i + b)(1 - \sigma(\sum_i w_i x_i + b))x imes upstream_gradient$$

$$f\left(\sum_i w_i x_i + b
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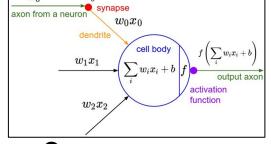
What can we say about the gradients on w?

We know that local gradient of sigmoid is always positive We are assuming x is always positive

$$rac{\partial L}{\partial w} = \sigma(\sum_i w_i x_i + b)(1 - \sigma(\sum_i w_i x_i + b))x imes upstream_gradient$$

Consider what happens when the input to a neuron is always positive... $\frac{x_0 - w_0}{w_0}$

$$f\left(\sum_i w_i x_i + b
ight)$$



What can we say about the gradients on w?

We know that local gradient of sigmoid is always positive We are assuming x is always positive

So!! Sign of gradient for all \mathbf{w}_i is the same as the sign of upstream scalar gradient! (local gradient cannot change the sign of global gradient)

$$oxed{rac{\partial L}{\partial w}} = \sigma(\sum_i w_i x_i + b)(1 - \sigma(\sum_i w_i x_i + b))x imes upstream_gradient$$

$$f\left(\sum_i w_i x_i + b
ight)$$

What can we say about the gradients on **w**? Always all positive or all negative :(

allowed gradient update directions W_1 zig zag path allowed gradient update directions hypothetical optimal w

vector

$$f\left(\sum_i w_i x_i + b
ight)$$

on **w**?

What can we say about the gradients on w?

Always all positive or all negative:

(Minibatches help to average out the

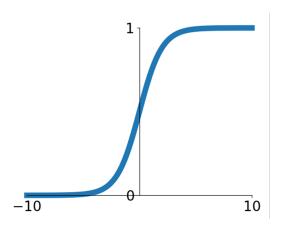
gradient, but still not great)

allowed gradient update directions

allowed gradient update directions

hypothetical optimal w vector

zig zag path



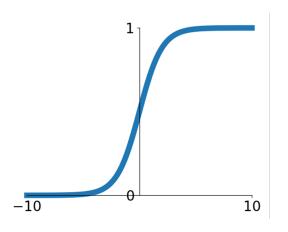
Sigmoid

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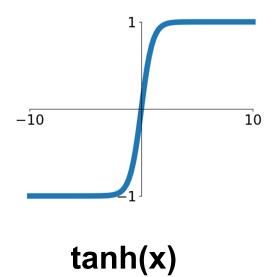
- 1. Saturated neurons "kill" the gradients
- 2. Sigmoid outputs are not zerocentered
- 3. exp() is a bit compute expensive



$$\sigma(x) = 1/(1 + e^{-x})$$

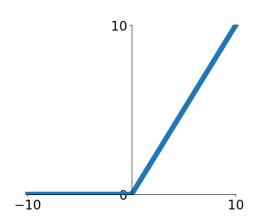
- Squashes numbers to range [0,1]
- Historically popular since they have nice interpretation as a saturating "firing rate" of a neuron

Worst problem in practice: Saturated neurons "kill" the gradients / vanishing gradient



- Squashes numbers to range [-1,1]
- zero centered (nice)
- still kills gradients when saturated :(

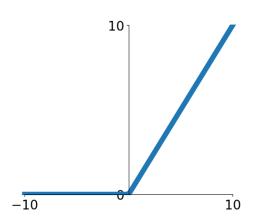
[LeCun et al., 1991]



ReLU (Rectified Linear Unit)

- Computes f(x) = max(0,x)
- Does not saturate (in +region)
- Very computationally efficient
- Converges much faster than sigmoid/tanh in practice (e.g. 6x)

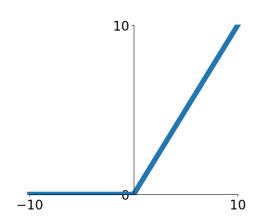
[Krizhevsky et al., 2012]



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Not zero-centered output

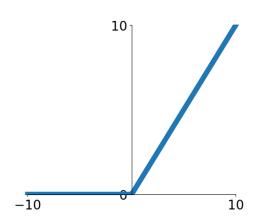


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- Not zero-centered output
- An annoyance:

hint: what is the gradient when x < 0?



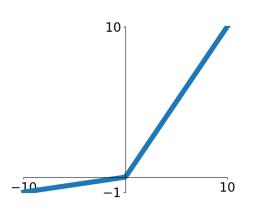
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- Not zero-centered output
- An annoyance:

hint: what is the gradient when x < 0? Always 0, A.K.A. "dead ReLU"

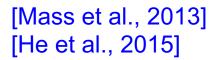


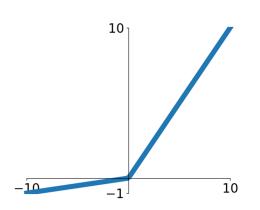


- Does not saturate
- Computationally efficient
- Converges much faster than sigmoid/tanh in practice! (e.g. 6x)
- will not "die".

Leaky ReLU

$$f(x) = \max(0.01x, x)$$





Leaky ReLU

$$f(x) = \max(0.01x, x)$$

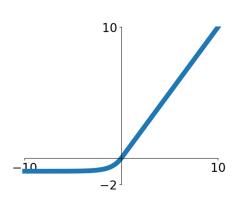
- Does not saturate
- Computationally efficient
- Converges much faster than sigmoid/tanh in practice! (e.g. 6x)
- will not "die".

Parametric Rectifier (PReLU)

$$f(x) = \max(lpha x, x)$$

backprop into \alpha (parameter)

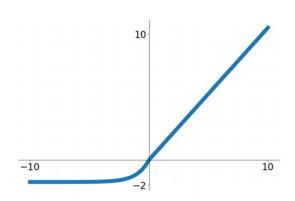
Exponential Linear Units (ELU)



$$f(x) = \begin{cases} x & \text{if } x > 0 \\ \alpha & (\exp(x) - 1) & \text{if } x \le 0 \end{cases}$$
(Alpha default = 1)

- All benefits of ReLU
- Negative saturation encodes presence of features (all goes to -\alpha), not magnitude
- Same in backprop
- Compared with Leaky ReLU: more robust to noise

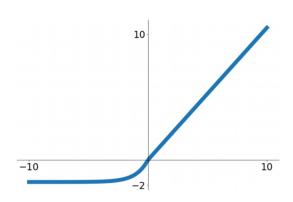
Scaled Exponential Linear Units (SELU)



$$f(x) = egin{cases} \lambda x & ext{if } x > 0 \ \lambda lpha(e^x - 1) & ext{otherwise} \end{cases}$$

- Scaled version of ELU that works better for deep networks
- "Self-normalizing" property

Scaled Exponential Linear Units (SELU)



$$f(x) = egin{cases} \lambda x & ext{if } x > 0 \ \lambda lpha(e^x - 1) & ext{otherwise} \end{cases}$$

 α = 1.6732632423543772848170429916717 λ = 1.0507009873554804934193349852946

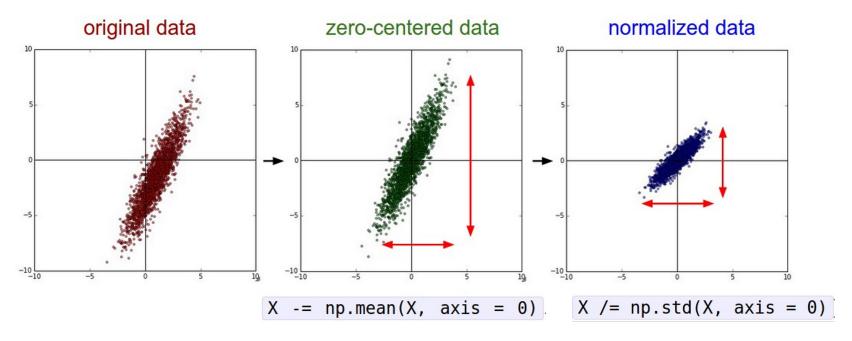
- Scaled version of ELU that works better for deep networks
- "Self-normalizing" property;
- Can train deep SELU networks without BatchNorm
 - (will discuss more later)

Derivation takes 91 pages of math in appendix...

(Klambauer et al, Self-Normalizing Neural Networks, ICLR 2017)

TLDR: In practice:

- Many possible choices beyond what we've talked here, but ...
- Use ReLU. Be careful with your learning rates
- Try out Leaky ReLU / ELU / SELU
 - To squeeze out some marginal gains
- Don't use sigmoid or tanh



(Assume X [NxD] is data matrix, each example in a row)

$$f\left(\sum_i w_i x_i + b
ight)$$

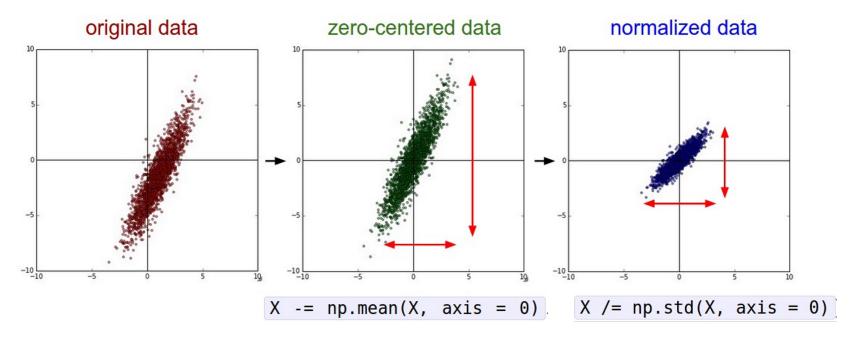
What can we say about the gradients on **w**? Always all positive or all negative :((this is also why you want zero-mean data!)

allowed gradient update directions

allowed gradient update directions

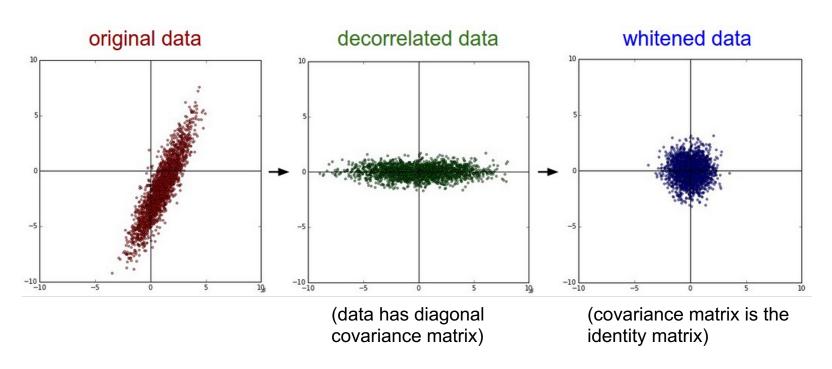
hypothetical optimal w vector

zig zag path

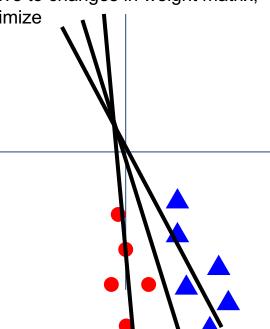


(Assume X [NxD] is data matrix, each example in a row)

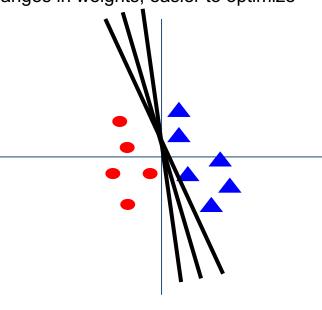
In practice, you could also PCA and Whitening of the data



Before normalization: classification loss very sensitive to changes in weight matrix; hard to optimize



After normalization: less sensitive to small changes in weights; easier to optimize



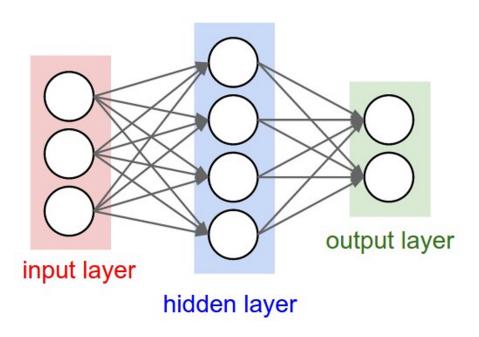
TLDR: In practice for Images: center only

e.g. consider CIFAR-10 example with [32,32,3] images

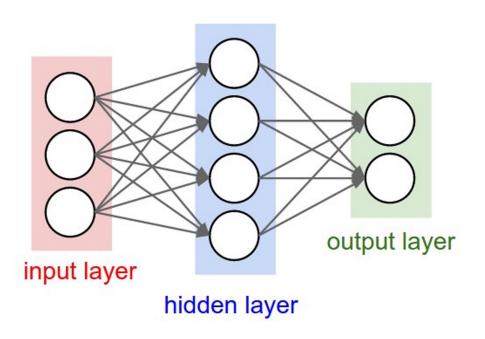
- Subtract the per-pixel mean(e.g. AlexNet) (mean image = [32,32,3] array)
- Subtract per-channel mean (e.g. VGGNet) (mean along each channel = 3 numbers,)
- Subtract per-channel mean and
 Divide by per-channel std (e.g. ResNet)
 (mean along each channel = 3 numbers)

Weight Initialization

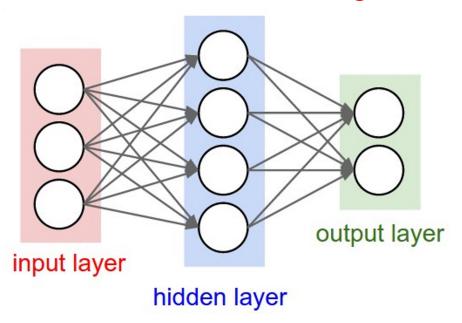
Q: what happens when W=same initial value is used?



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- A: All output will be the same! $w_1^T x = w_2^T x$ if $w_1 = w_2$
- Want to maintain variance through the layers.



- First idea: **Small random numbers** (gaussian with zero mean and 1e-2 standard deviation)

W = 0.01 * np.random.randn(Din, Dout)

- First idea: **Small random numbers** (gaussian with zero mean and 1e-2 standard deviation)

Works ~okay for small networks, but problems with deeper networks.

```
dims = [4096] * 7 Forward pass for a 6-layer
hs = [] net with hidden size 4096
x = np.random.randn(16, dims[0])
for Din, Dout in zip(dims[:-1], dims[1:]):
    W = 0.01 * np.random.randn(Din, Dout)
    x = np.tanh(x.dot(W))
    hs.append(x)
```

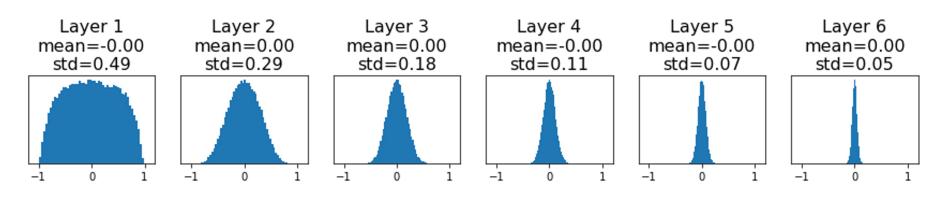
What will happen to the activations for the last layer?

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    x = np.tanh(x.dot(W))
    hs.append(x)
```

All activations tend to zero for deeper network layers

Q: What do the gradients dL/dW look like?

Hint:
$$\frac{\partial L}{\partial w} = x^T \left(\frac{\partial L}{\partial y} \right)$$

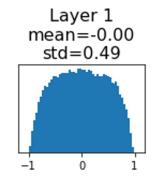


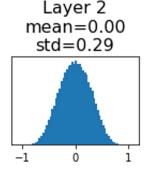
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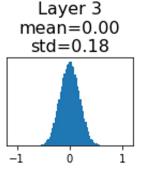
All activations tend to zero for deeper network layers

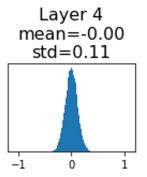
Q: What do the gradients dL/dW look like?

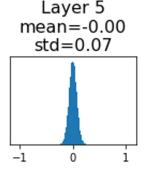
A: All zero, no learning =(

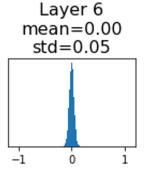








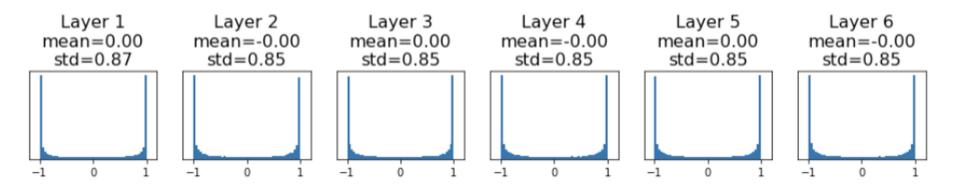




Initialize with higher values
What will happen to the activations for the last layer?

All activations saturate

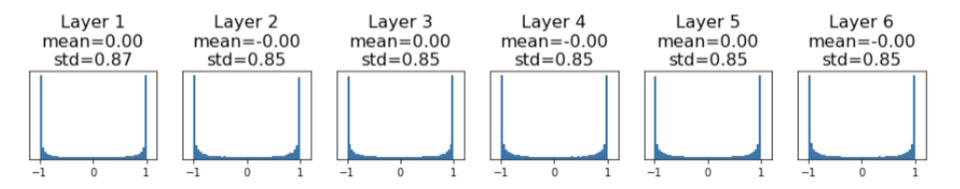
Q: What do the gradients look like?



All activations saturate

Q: What do the gradients look like?

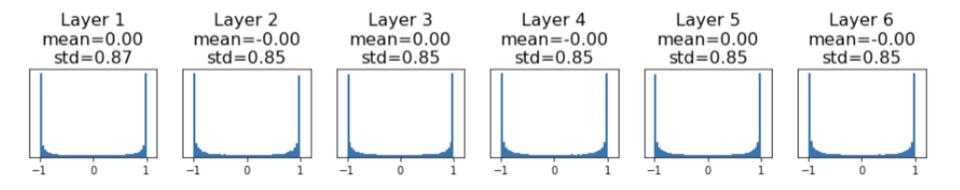
A: Local gradients all zero, no learning =(



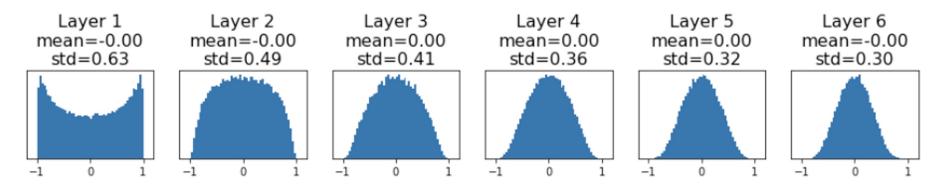
All activations saturate

Q: What do the gradients look like?

More generally, gradient explosion.



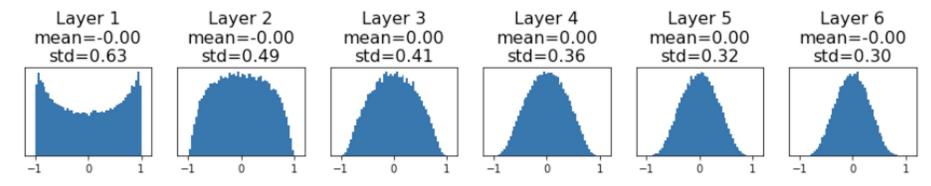
"Just right": Activations are nicely scaled for all layers!



Glorot and Bengio, "Understanding the difficulty of training deep feedforward neural networks", AISTAT 2010

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For conv layers, Din is filter_size² * input_channels



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For conv layers, Din is filter_size² * input_channels

```
Let: y = x_1 w_1 + x_2 w_2 + ... + x_{Din} w_{Din}
```

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For conv layers, Din is filter_size² * input_channels

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Let: y = x_1 w_1 + x_2 w_2 + ... + x_{Din} w_{Din}
```

Assume: $Var(x_1) = Var(x_2) = ... = Var(x_{Din})$

"Just right": Activations are nicely scaled for all layers!

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Let: y = x_1 w_1 + x_2 w_2 + ... + x_{Din} w_{Din}
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$$Var(x_1) = Var(x_2) = ... = Var(x_{Din})$$

We want: $Var(y) = Var(x_i)$

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Let: y = x_1 w_1 + x_2 w_2 + ... + x_{Din} w_{Din}
```

Assume: $Var(x_1) = Var(x_2) = ... = Var(x_{Din})$

We want: $Var(y) = Var(x_i)$

```
Var(y) = Var(x_1w_1+x_2w_2+...+x_{Din}w_{Din})
[substituting value of y]
```

"Just right": Activations are nicely scaled for all layers!

For conv layers, Din is filter_size² * input_channels

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Let: y = x_1w_1+x_2w_2+...+x_{Din}w_{Din}

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```

```
Var(y) = Var(x_1w_1+x_2w_2+...+x_{Din}w_{Din})

= \sum Var(x_iw_i) = Din Var(x_iw_i)

[Assume all x_i, w_i are iid] \sigma_{X+Y}^2 = \sigma_X^2 + \sigma_Y^2
```

"Just right": Activations are nicely scaled for all layers!

For conv layers, Din is filter_size² * input_channels

```
Let: y = x_1w_1+x_2w_2+...+x_{Din}w_{Din}

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```

```
Var(y) = Var(x_1w_1+x_2w_2+...+x_{Din}w_{Din})
= Din Var(x_iw_i)
= Din Var(x_i) Var(w_i)
[Assume all x_i, w_i are zero mean]
```

 $Var(XY) = E(X^2Y^2) - (E(XY))^2 = Var(X)Var(Y) + Var(X)(E(Y))^2 + Var(Y)(E(X))^2$

"Just right": Activations are nicely scaled for all layers!

For conv layers, Din is filter_size² * input_channels

```
Let: y = x_1w_1+x_2w_2+...+x_{Din}w_{Din}

Assume: Var(x_1) = Var(x_2)=...=Var(x_{Din})

We want: Var(y) = Var(x_i)
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```
Var(y) = Var(x_1w_1+x_2w_2+...+x_{Din}w_{Din})
= Din Var(x_iw_i)
= Din Var(x_i) Var(w_i)
[Assume all x<sub>i</sub>, w<sub>i</sub> are iid]
```

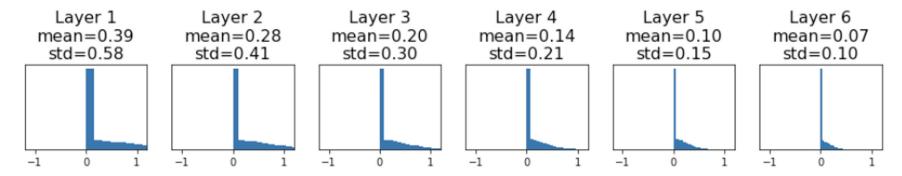
So,
$$Var(y) = Var(x_i)$$
 only when $Var(w_i) = 1/Din$

Weight Initialization: What about ReLU?

Weight Initialization: What about ReLU?

Xavier assumes zero centered activation function

Activations collapse to zero again, no learning =(



Weight Initialization: Kaiming / MSRA Initialization

```
dims = [4096] * 7
hs = []

ReLU correction: std = sqrt(2 / Din)

x = np.random.randn(16, dims[0])

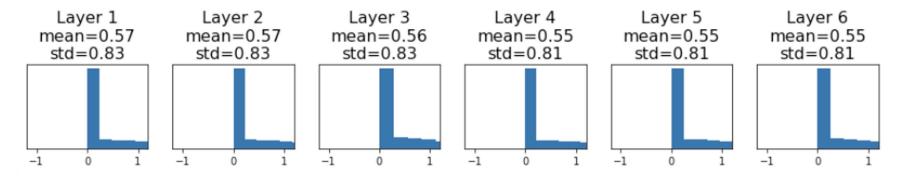
for Din, Dout in zip(dims[:-1], dims[1:]):

W = np.random.randn(Din, Dout) * np.sqrt(2/Din)

x = np.maximum(0, x.dot(W))
hs.append(x)
```

Issue: Half of the activation get killed.

Solution: make the non-zero output variance twice as large as input



He et al, "Delving Deep into Rectifiers: Surpassing Human-Level Performance on ImageNet Classification", ICCV 2015

Proper initialization is an active area of research...

Understanding the difficulty of training deep feedforward neural networks by Glorot and Bengio, 2010

Exact solutions to the nonlinear dynamics of learning in deep linear neural networks by Saxe et al, 2013

Random walk initialization for training very deep feedforward networks by Sussillo and Abbott, 2014

Delving deep into rectifiers: Surpassing human-level performance on ImageNet classification by He et al., 2015

Data-dependent Initializations of Convolutional Neural Networks by Krähenbühl et al., 2015

All you need is a good init, Mishkin and Matas, 2015

Fixup Initialization: Residual Learning Without Normalization, Zhang et al, 2019

The Lottery Ticket Hypothesis: Finding Sparse, Trainable Neural Networks, Frankle and Carbin, 2019

Summary

Training Deep Neural Networks

- Details of the non-linear activation functions
 - Sigmoid, Tanh, ReLU, LeakyRELU, ELU, SELU
- Data normalization
 - Zero-centering, decorrelation, image normalization
- Weight Initialization
 - Constant init, random init, Xavier Init, Kaiming Init

Next time:

Training Deep Neural Networks

- Details of the non-linear activation functions
- Data normalization
- Weight Initialization
- Batch Normalization
- Advanced Optimization
- Regularization
- Data Augmentation
- Transfer learning
- Hyperparameter Tuning
- Model Ensemble