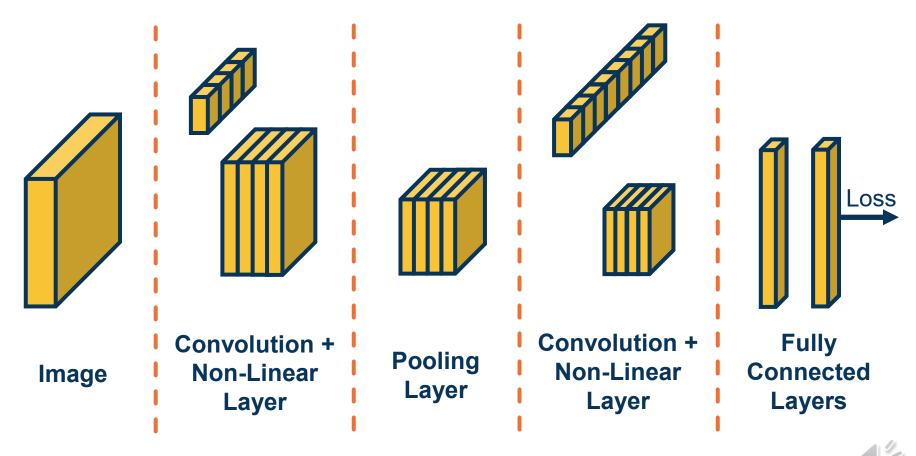
#### Topics:

- Convolutional Neural Networks
- Visualization

## **CS 4644-DL / 7643-A ZSOLT KIRA**

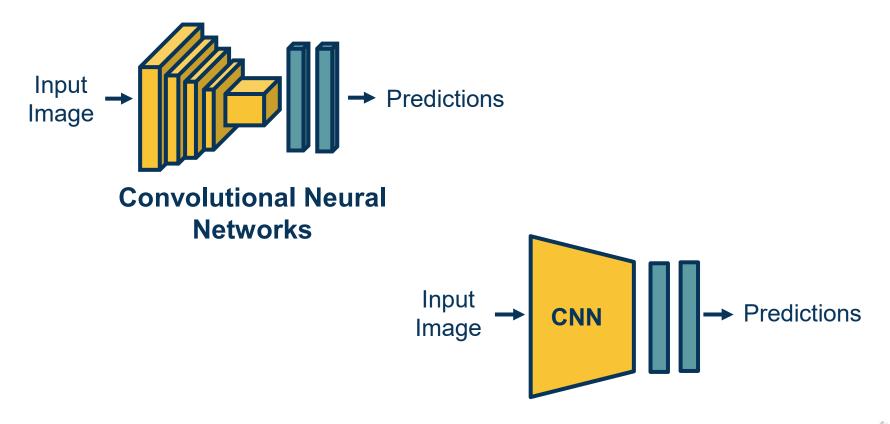
#### Assignment 2

- Due soon!
- Resources (in addition to lectures):
  - DL book: Convolutional Networks
  - CNN notes <a href="https://www.cc.gatech.edu/classes/AY2022/cs7643">https://www.cc.gatech.edu/classes/AY2022/cs7643</a> spring/assets/L10 cnns notes.pdf
  - Backprop notes
    <a href="https://www.cc.gatech.edu/classes/AY2022/cs7643">https://www.cc.gatech.edu/classes/AY2022/cs7643</a> spring/assets/L10 cnns backprop notes.pdf
  - HW2 Tutorial @113, Conv @116, Focal Loss @117
  - Slower OMSCS lectures on dropbox: Module 2 Lessons 5-6 (M2L5/M2L6) (https://www.dropbox.com/sh/iviro188gq0b4vs/AADdHxX\_Uy1TkpF\_yvlzX0nPa?dl=0)



**Adding a Fully Connected Layer** 







#### These architectures have existed since 1980s

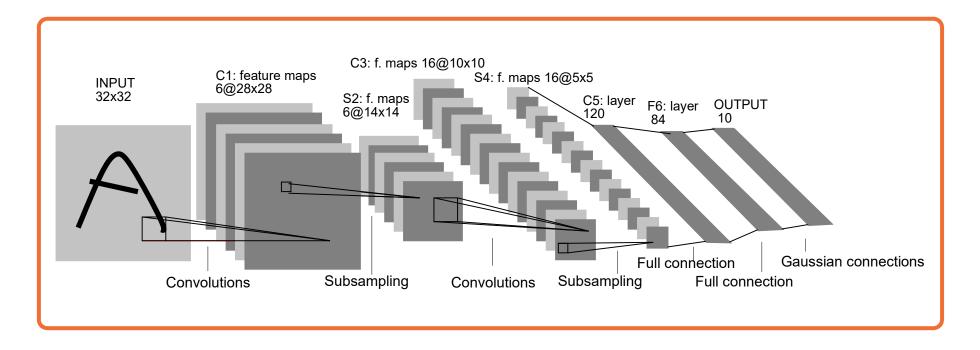
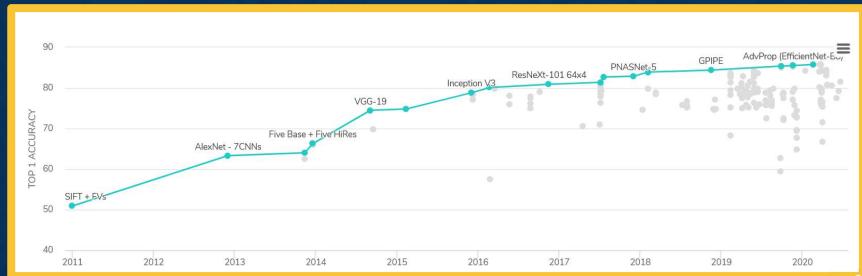


Image Credit: Yann LeCun, Kevin Murchy



#### **The Importance of Benchmarks**

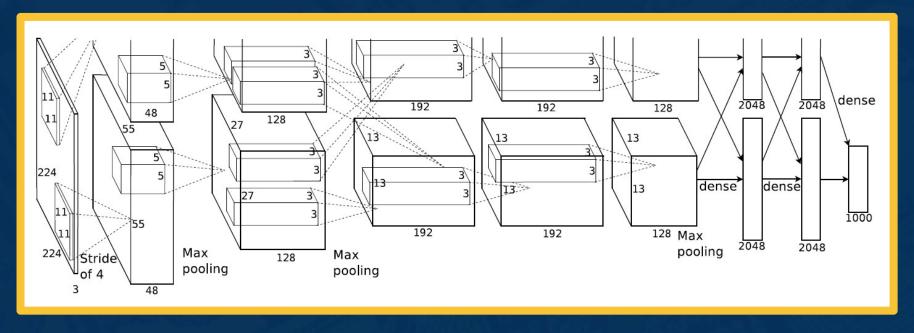




From: https://paperswithcode.com

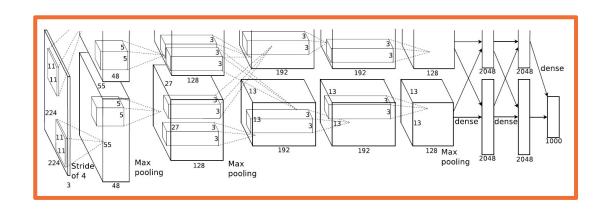


#### **AlexNet - Architecture**



From: Krizhevsky et al., ImageNet Classification with Deep ConvolutionalNeural Networks, 2012.





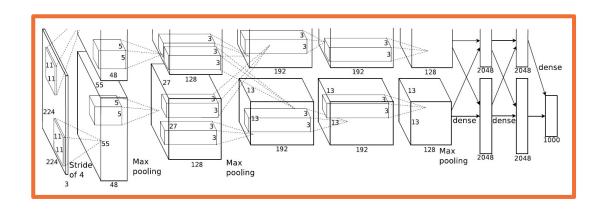
First layer (CONV1): 96 11x11 filters applied at stride 4

W' = (W - F + 2P) / S + 1

=>

Q: what is the output volume size? Hint: (227-11)/4+1 = 55



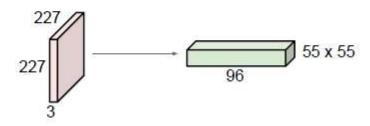


First layer (CONV1): 96 11x11 filters applied at stride 4

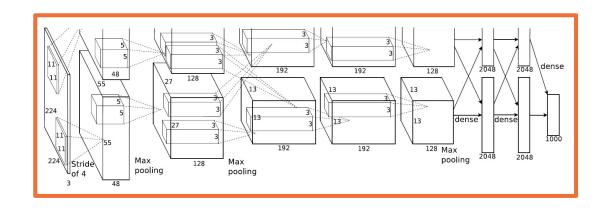
W' = (W - F + 2P) / S + 1

=>

Output volume [55x55x96]





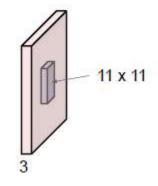


First layer (CONV1): 96 11x11 filters applied at stride 4

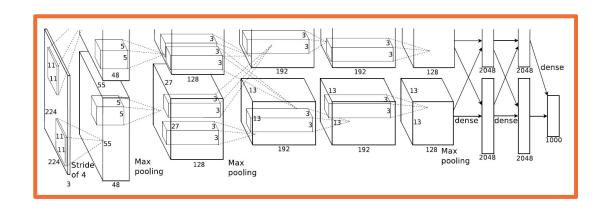
=>

Output volume [55x55x96]

Q: What is the total number of parameters in this layer?





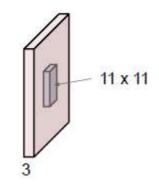


First layer (CONV1): 96 11x11 filters applied at stride 4

=>

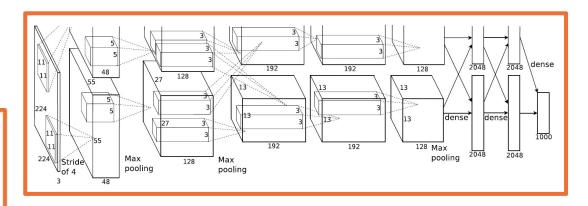
Output volume [55x55x96]

Parameters: (11\*11\*3 + 1)\*96 = 35K





```
Full (simplified) AlexNet architecture:
[224k224k3] INPUT
[55x55x96] CONV1: 96 11x11 filters at stride 4, pad 0
[27x27x96] MAX POOL1: 3x3 filters at stride 2
[27x27x96] NORM1: Normalization layer
[27x27x256] CONV2: 256 5x5 filters at stride 1, pad 2
[13x13x256] MAX POOL2: 3x3 filters at stride 2
[13x13x256] NORM2: Normalization layer
[13x13x384] CONV3: 384 3x3 filters at stride 1, pad 1
[13x13x384] CONV4: 384 3x3 filters at stride 1, pad 1
[13x13x256] CONV5: 256 3x3 filters at stride 1, pad 1
[6x6x256] MAX POOL3: 3x3 filters at stride 2
[4096] FC6: 4096 neurons
[4096] FC7: 4096 neurons
[1000] FC8: 1000 neurons (class scores)
```



#### **Key aspects:**

- ReLU instead of sigmoid or tanh
- Specialized normalization layers
- PCA-based data augmentation
- Dropout
- Ensembling



#### Small filters, Deeper networks

8 layers (AlexNet)
-> 16 - 19 layers (VGG16Net)

Only 3x3 CONV stride 1, pad 1 and 2x2 MAX POOL stride 2

11.7% top 5 error in ILSVRC'13 (ZFNet) -> 7.3% top 5 error in ILSVRC'14

	Saltmax	
	FC:1000	Ì
	FC 4096	į
	FC 4098	i
	Pool	
	3 c2 conv. 256	
	363 CONV. 364	1
7	Post	i
	3x3 conv. 384	
	Pool	
	5x5 porry, 258	e.
	11/11 my 91	1
	Input	
	AlexNet	

	Softmax			
	FC 1000			
Solomax	FC 4096			
FC 1600	FC 4096			
FC 4098	Poor			
FC 4098	3x3 conv. 512			
Pool	253 cony, 512			
3-5 cm x 512	3x3 core 512			
3x3 conv. 512	3x3 conv. 612			
3x3 pony, 512	Pool			
- Phoi	3x3 conv. \$12.			
3x5 cogy, 512	3x3 cory, 512			
3-5 cm x 512	343 mm, 512			
3x3 conv. 612	3x3 cony, 612			
Pool	Pto			
3x3-pory, 258	3x3 conv, 256			
3k3 porty 256	363 copy, 256			
Pool	Podi			
3x3 conv. 128	5x3 conc, 126			
3x3 conv, 138	3x3 conv, 128			
Pool	Pool			
3:0 cory, 64	363-0009, 56			
30.com, 54	3x3 conv. 64			
Input	Input			
VGG16	VGG19			

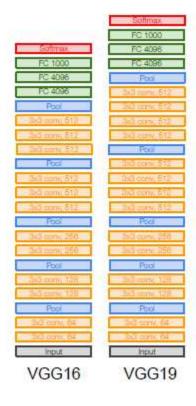


Q: Why use smaller filters? (3x3 conv)

Stack of three 3x3 conv (stride 1) layers has same **effective receptive field** as one 7x7 conv layer

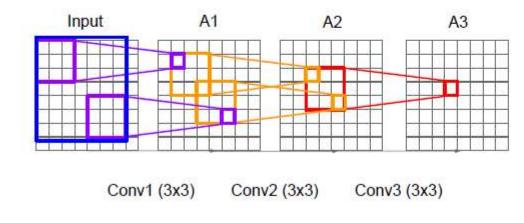
Q: What is the effective receptive field of three 3x3 conv (stride 1) layers?

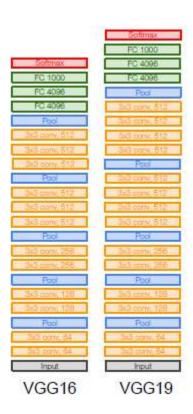






Q: What is the effective receptive field of three 3x3 conv (stride 1) layers?







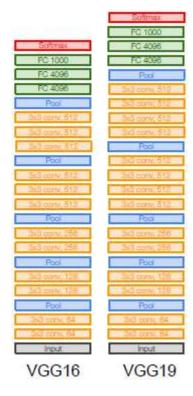
#### Q: Why use smaller filters? (3x3 conv)

Stack of three 3x3 conv (stride 1) layers has same effective receptive field as one 7x7 conv layer

But deeper, more non-linearities

And fewer parameters: 3 \* (3<sup>2</sup>C<sup>2</sup>) vs. 7<sup>2</sup>C<sup>2</sup> for C channels per layer







```
(not counting biases)
INPUT: [224x224x3]
                     memory: 224*224*3=150K params: 0
CONV3-64: [224x224x64] memory: 224*224*64=3.2M params: (3*3*3)*64 = 1,728
CONV3-64: [224x224x64] memory: 224*224*64=3.2M params: (3*3*64)*64 = 36,864
POOL2: [112x112x64] memory: 112*112*64=800K params: 0
CONV3-128: [112x112x128] memory: 112*112*128=1.6M params: (3*3*64)*128 = 73,728
CONV3-128: [112x112x128] memory: 112*112*128=1.6M params: (3*3*128)*128 = 147,456
POOL2: [56x56x128] memory: 56*56*128=400K params: 0
CONV3-256: [56x56x256] memory: 56*56*256=800K params: (3*3*128)*256 = 294,912
CONV3-256: [56x56x256] memory: 56*56*256=800K params: (3*3*256)*256 = 589,824
CONV3-256: [56x56x256] memory: 56*56*256=800K params: (3*3*256)*256 = 589,824
POOL2: [28x28x256] memory: 28*28*256=200K params: 0
CONV3-512: [28x28x512] memory: 28*28*512=400K params: (3*3*256)*512 = 1,179,648
CONV3-512: [28x28x512] memory: 28*28*512=400K params: (3*3*512)*512 = 2,359,296
CONV3-512: [28x28x512] memory: 28*28*512=400K params: (3*3*512)*512 = 2,359,296
POOL2: [14x14x512] memory: 14*14*512=100K params: 0
CONV3-512: [14x14x512] memory: 14*14*512=100K params: (3*3*512)*512 = 2,359,296
CONV3-512: [14x14x512] memory: 14*14*512=100K params: (3*3*512)*512 = 2,359,296
CONV3-512: [14x14x512] memory: 14*14*512=100K params: (3*3*512)*512 = 2,359,296
POOL2: [7x7x512] memory: 7*7*512=25K params: 0
FC: [1x1x4096] memory: 4096 params: 7*7*512*4096 = 102,760,448
FC: [1x1x4096] memory: 4096 params: 4096*4096 = 16,777,216
FC: [1x1x1000] memory: 1000 params: 4096*1000 = 4,096,000
```

			onfiguration		_
A	A-LRN	В	C	D	E
11 weight	11 weight	13 weight	16 weight	16 weight	19 weight
layers	layers	layers	layers	layers	layers
			24 RGB image		
conv3-64	conv3-64	conv3-64	conv3-64	conv3-64	conv3-64
	LRN	conv3-64	conv3-64	conv3-64	conv3-64
### CTUS 16 Page 1			pool		
conv3-128	conv3-128	conv3-128	conv3-128	conv3-128	conv3-128
11111		conv3-128	conv3-128	conv3-128	conv3-128
			pool		
conv3-256	conv3-256	conv3-256	conv3-256	conv3-256	conv3-256
conv3-256	conv3-256	conv3-256	conv3-256	conv3-256	conv3-256
			conv1-256	conv3-256	conv3-256
					conv3-25
			pool	2	
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512
			conv1-512	conv3-512	conv3-512
					conv3-512
			pool		
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512
			conv1-512	conv3-512	conv3-512
					conv3-512
			pool		
			4096		
			4096		
			1000		
		SOIL	-max		

Table 2: Number of parameters (in millions).

Network	A,A-LRN	В	C	D	E
Number of parameters	133	133	134	138	144

From: Simonyan & Zimmerman, Very Deep Convolutional Networks for Large-Scale Image Recognition From: Slides by Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231rg



```
(not counting biases)
                     memory: 224*224*3=150K params: 0
INPUT: [224x224x3]
CONV3-64: [224x224x64] memory: 224*224*64=3.2M params: (3*3*3)*64 = 1,728
CONV3-64: [224x224x64] memory: 224*224*64=3.2M params: (3*3*64)*64 = 36,864
POOL2: [112x112x64] memory: 112*112*64=800K params: 0
CONV3-128: [112x112x128] memory: 112*112*128=1.6M params: (3*3*64)*128 = 73,728
CONV3-128: [112x112x128] memory: 112*112*128=1.6M params: (3*3*128)*128 = 147,456
POOL2: [56x56x128] memory: 56*56*128=400K params: 0
CONV3-256: [56x56x256] memory: 56*56*256=800K params: (3*3*128)*256 = 294,912
CONV3-256: [56x56x256] memory: 56*56*256=800K params: (3*3*256)*256 = 589,824
CONV3-256: [56x56x256] memory: 56*56*256=800K params: (3*3*256)*256 = 589,824
POOL2: [28x28x256] memory: 28*28*256=200K params: 0
CONV3-512: [28x28x512] memory: 28*28*512=400K params: (3*3*256)*512 = 1,179,648
CONV3-512: [28x28x512] memory: 28*28*512=400K params: (3*3*512)*512 = 2,359,296
CONV3-512: [28x28x512] memory: 28*28*512=400K params: (3*3*512)*512 = 2,359,296
POOL2: [14x14x512] memory: 14*14*512=100K params: 0
CONV3-512: [14x14x512] memory: 14*14*512=100K params: (3*3*512)*512 = 2,359,296
CONV3-512: [14x14x512] memory: 14*14*512=100K params: (3*3*512)*512 = 2,359,296
CONV3-512: [14x14x512] memory: 14*14*512=100K params: (3*3*512)*512 = 2,359,296
POOL2: [7x7x512] memory: 7*7*512=25K params: 0
FC: [1x1x4096] memory: 4096 params: 7*7*512*4096 = 102,760,448
FC: [1x1x4096] memory: 4096 params: 4096*4096 = 16,777,216
FC: [1x1x1000] memory: 1000 params: 4096*1000 = 4,096,000
```

## Most memory usage in convolution layers

## Most parameters in FC layers

From: Simonyan & Zimmerman, Very Deep Convolutional Networks for Large-Scale Image Recognition From: Slides by Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231rg



#### **Key aspects:**

#### Repeated application of:

- 3x3 conv (stride of 1, padding of 1)
- 2x2 max pooling (stride 2)

Very large number of parameters (138M)

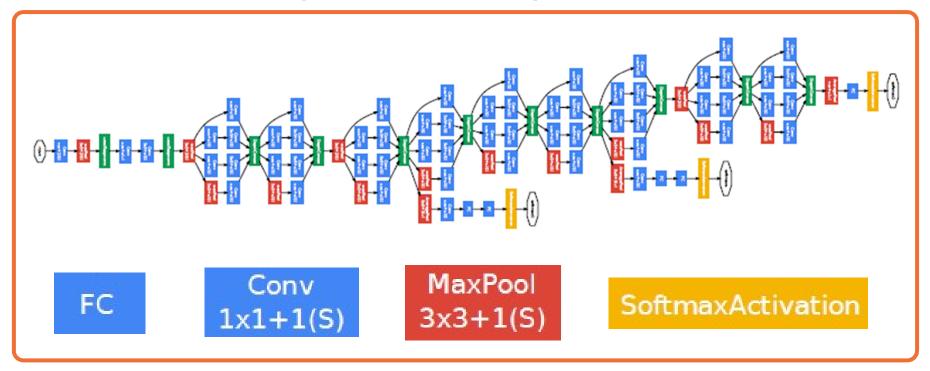
		ConvNet C	Configuration		
A	A-LRN	B	C	D	E
11 weight	11 weight	13 weight	16 weight	16 weight	19 weight
layers	layers	layers	layers	layers	layers
layers	8 (may 10 may 10 m		200 0000	Not Restable	Tayers
			224 RGB imag		
conv3-64	conv3-64	conv3-64	conv3-64	conv3-64	conv3-64
	LRN	conv3-64	conv3-64	conv3-64	conv3-64
III NO CONTRACTOR DE CONTRACTO			xpool		20 111 141 941 955
conv3-128	conv3-128	conv3-128	conv3-128	conv3-128	conv3-128
		conv3-128	conv3-128	conv3-128	conv3-128
		ma	xpool	"	**
conv3-256	conv3-256	conv3-256	conv3-256	conv3-256	conv3-256
conv3-256	conv3-256	conv3-256	conv3-256	conv3-256	conv3-256
			conv1-256	conv3-256	conv3-256
			E22.00000000000000000000000000000000000		conv3-256
		ma	xpool		
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512
	-		conv1-512	conv3-512	conv3-512
					conv3-512
	-	ma	xpool	<del></del>	
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512
CONVS-312	CONV3-312	CONVS-512	conv1-512	conv3-512	conv3-512
			CONVI-312	CONV3-312	conv3-512
	0 3	433.03	xpool	6	COHV3-312
			-4096		
			-4096 -4096		
			-1000		
		sof	-max		
	Table 2: N	Sumber of n	arameters (	in millions).	
Ne	etwork	A.A-		CD	E
NT.	unber of param			134 138	144

Network	A,A-LRN	В	C	D	E
Number of parameters	133	133	134	138	144

From: Simonyan & Zimmerman, Very Deep Convolutional Networks for Large-Scale Image Recognition From: Slides by Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231r/



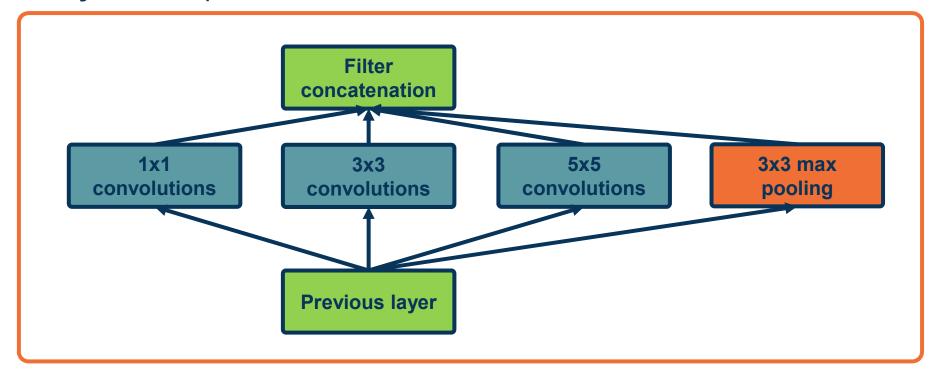
#### But have become deeper and more complex



From: Szegedy et al. Going deeper with convolutions



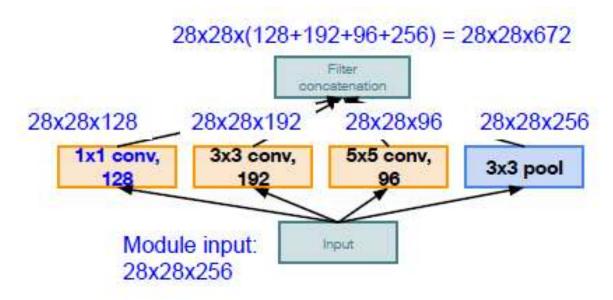
#### **Key idea:** Repeated blocks and multi-scale features



From: Szegedy et al. Going deeper with convolutions



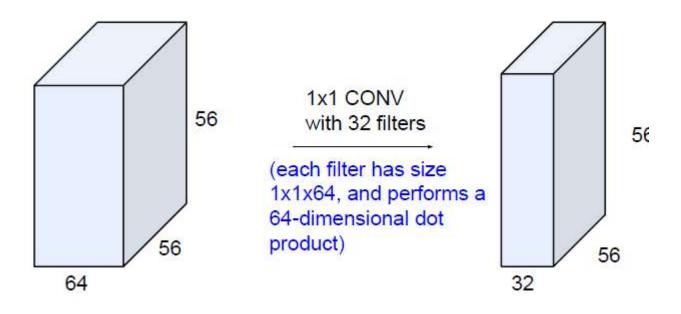
#### Key idea: Repeated blocks and multi-scale features



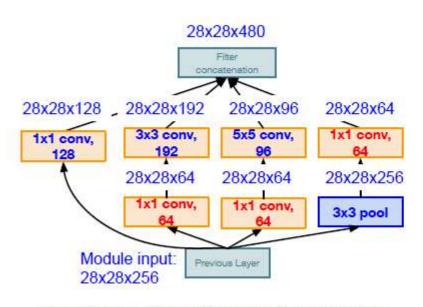
Naive Inception module



## Apply 1x1 convolutions as bottleneck layer (decrease number of channels!)







Inception module with dimension reduction

Using same parallel layers as naive example, and adding "1x1 conv, 64 filter" bottlenecks:

#### Conv Ops:

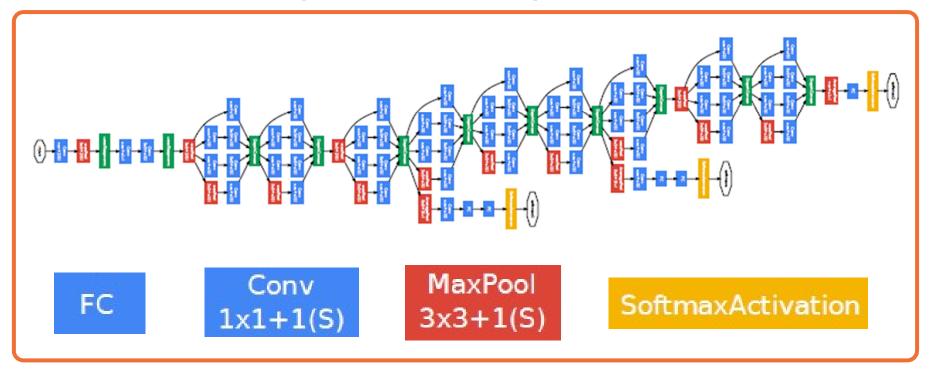
[1x1 conv, 64] 28x28x64x1x1x256 [1x1 conv, 64] 28x28x64x1x1x256 [1x1 conv, 128] 28x28x128x1x1x256 [3x3 conv, 192] 28x28x192x3x3x64 [5x5 conv, 96] 28x28x96x5x5x64 [1x1 conv, 64] 28x28x64x1x1x256 Total: 358M ops

Compared to 854M ops for naive version Bottleneck can also reduce depth after

pooling layer



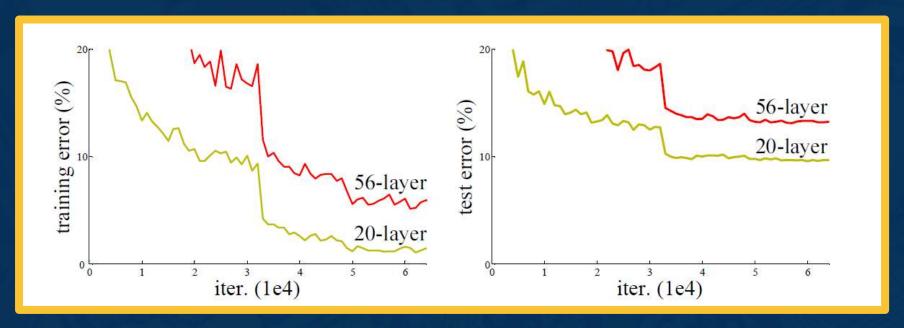
#### But have become deeper and more complex



From: Szegedy et al. Going deeper with convolutions



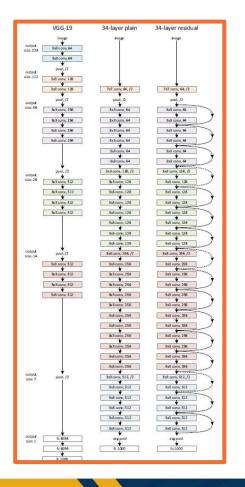
#### The Challenge of Depth

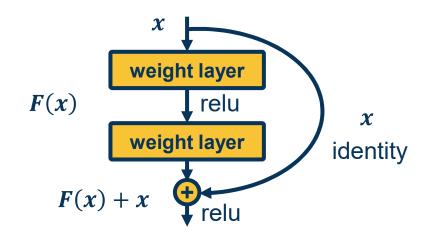


From: He et al., Deep Residual Learning for Image Recognition

Optimizing very deep networks is challenging!







**Key idea**: Allow information from a layer to propagate to any future layer (forward)

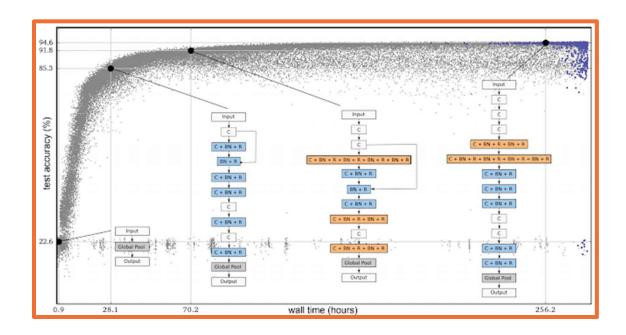
Same is true for gradients!

From: He et al., Deep Residual Learning for Image Recognition



### Several ways to *learn* architectures:

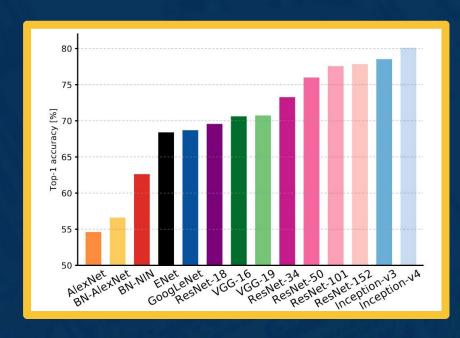
- Evolutionary learning and reinforcement learning
- Prune overparameterized networks
- Learning of repeated blocks typical

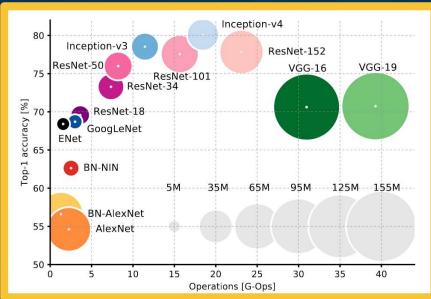


From: https://ai.googleblog.com/2018/03/using-evolutionary-automl-to-discover.html



#### **Computational Complexity**

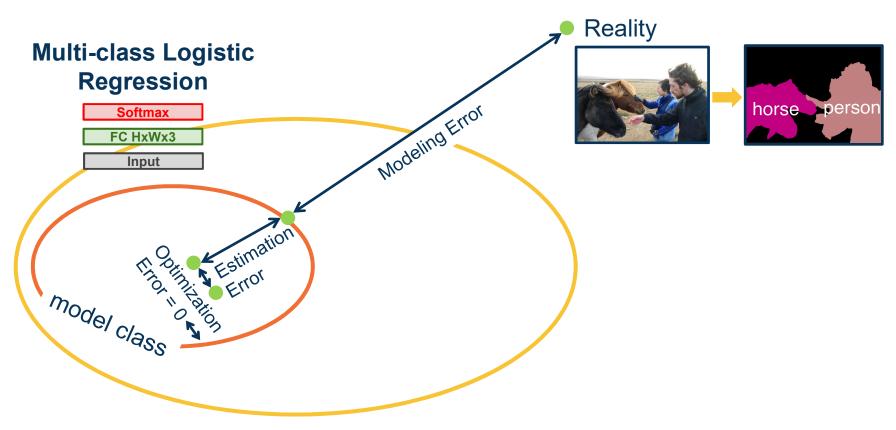




From: An Analysis Of Deep Neural Network Models For Practical Application

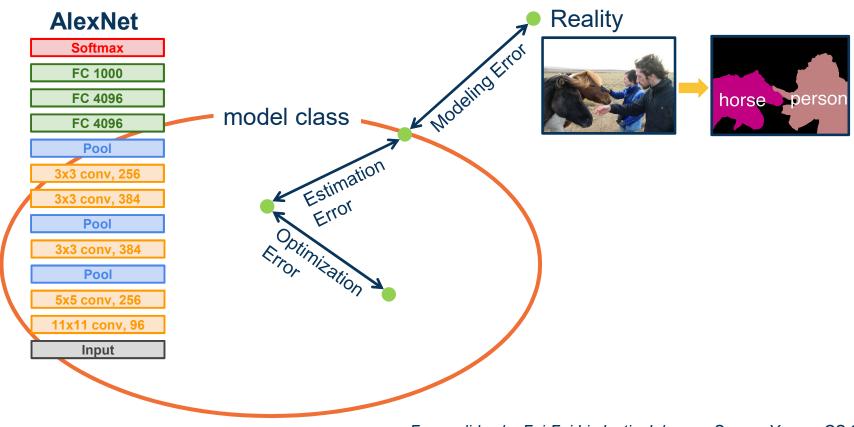
# Transfer Learning & Generalization





From: slides by Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231n

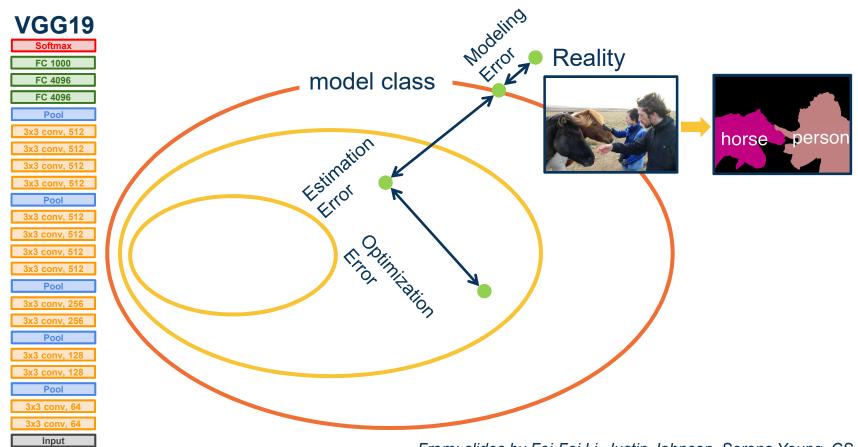
Georgia Tech



From: slides by Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231n

Generalization





From: slides by Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231n

Generalization

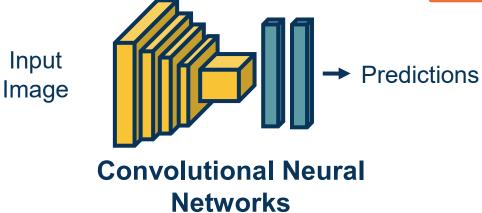


## What if we don't have enough data?

Step 1: Train on large-scale

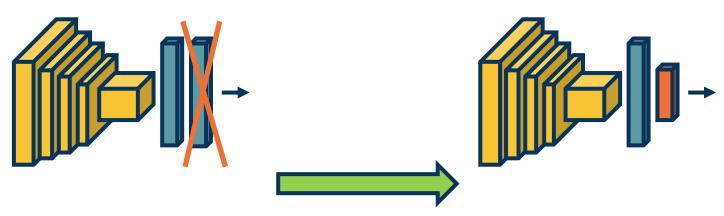
dataset







**Step 2:** Take your custom data and **initialize** the network with weights trained in Step 1



Replace last layer with new fully-connected for output nodes per new category

**Initializing with Pre-Trained Network** 



#### **Step 3:** (Continue to) train on new dataset

- Finetune: Update all parameters
- Freeze feature layer: Update only last layer weights (used when not enough data)



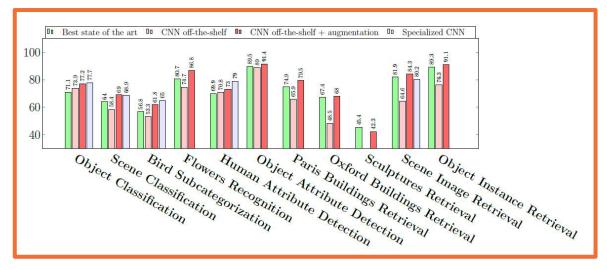
Replace last layer with new fully-connected for output nodes per new category

**Finetuning on New Dataset** 



# This works extremely well! It was surprising upon discovery.

- Features learned for 1000 object categories will work well for 1001st!
- Generalizes even across tasks (classification to object detection)



From: Razavian et al., CNN Features off-the-shelf: an Astounding Baseline for Recognition



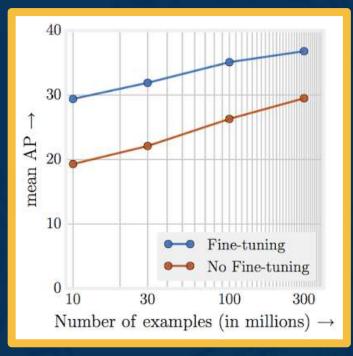
#### **Learning with Less Labels**

### But it doesn't always work that well!

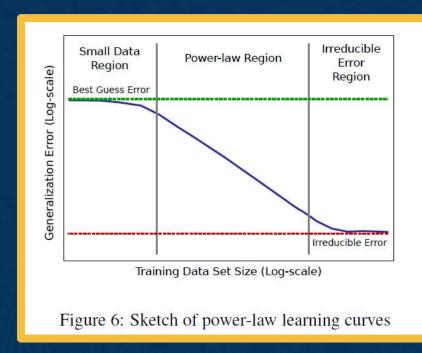
- If the source dataset you train on is very different from the target dataset, transfer learning is not as effective
- If you have enough data for the target domain, it just results in faster convergence
  - See He et al., "Rethinking ImageNet Pre-training"



#### **Effectiveness of More Data**



From: Revisiting the Unreasonable
Effectiveness of Data
https://ai.googleblog.com/2017/07/revisitingunreasonable-effectiveness.html



From: Hestness et al., Deep Learning Scaling Is Predictable



#### There is a large number of different low-labeled settings in DL research

Setting	Source	Target	Shift Type
Semi-supervised	Single labeled	Single unlabeled	None
Domain Adaptation	Single labeled	Single unlabeled	Non-semantic
Domain Generalization	Multiple labeled	Unknown	Non-semantic
Cross-Task Transfer	Single labeled	Single unlabeled	Semantic
Few-Shot Learning	Single labeled	Single few-labeled	Semantic
Un/Self-Supervised	Single unlabeled	Many labeled	Both/Task







**Semantic Shift** 





**Dealing with Low-Labeled Situations** 



# Data **Augmentation**



## **Data augmentation** – Performing a range of **transformations** to the data

- This essentially "increases" your dataset
- Transformations should not change meaning of the data (or label has to be changed as well)

#### Simple example: Image Flipping





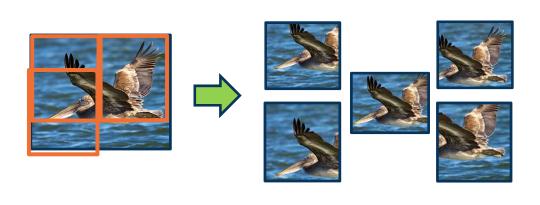


**Data Augmentation: Motivation** 



#### Random crop

- Take different crops during training
- Can be used during inference too!

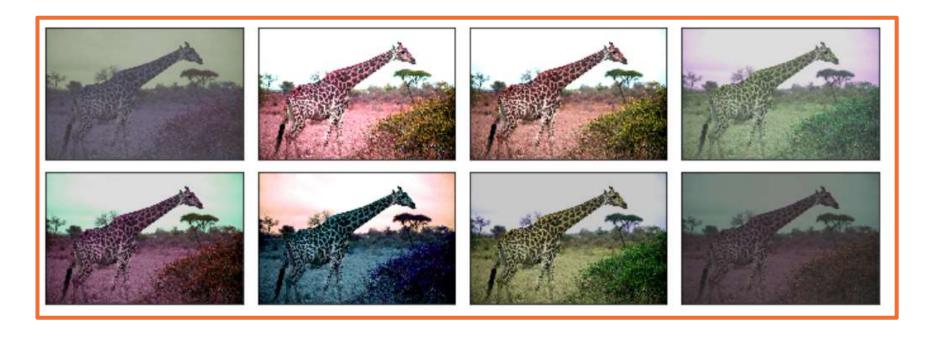




**CutMix** 



#### **Color Jitter**



From https://mxnet.apache.org/versions/1.5.0/tutorials/gluon/data\_augmentation.html

**Color Jitter** 



## We can apply **generic affine transformations**:

- Translation
- Rotation
- Scale
- Shear





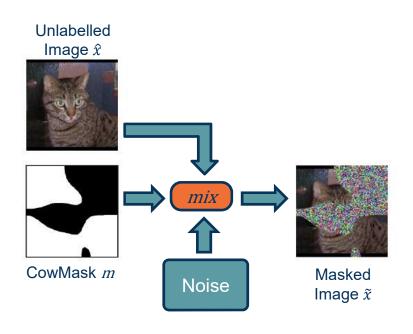
#### We can **combine these transformations** to add even more variety!

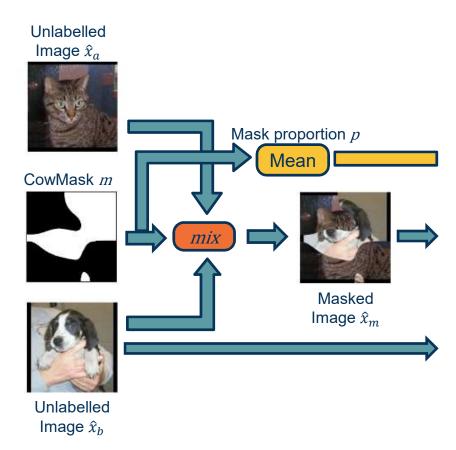


From https://mxnet.apache.org/versions/1.5.0/tutorials/gluon/data\_augmentation.html









**CowMix** 

From French et al., "Milking CowMask for Semi-Supervised Image Classification"

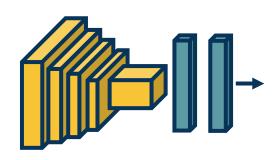
**Other Variations** 



# Visualization of Neural Networks



Given a **trained** model, we'd like to understand what it learned.



#### Weights



Fei-Fei Li, Justin Johnson, Serena Yeung, from CS 231n



Zeiler & Fergus, 2014

#### **Activations**



#### **Gradients**



Simonyan et al, 2013

#### Robustness



Hendrycks & Dietterich, 2019

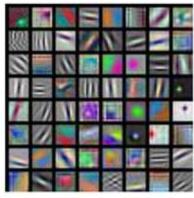


#### FC Layer: Reshape weights for a node back into size of image, scale 0-255

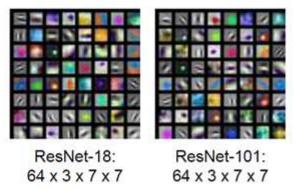


#### **Conv layers:**

For each kernel, scale values from 0-255 and visualize



AlexNet: 64 x 3 x 11 x 11



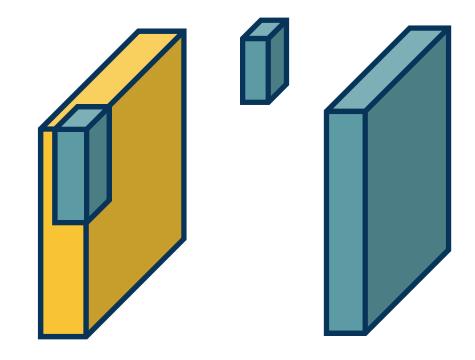
Problem: 3x3 filters difficult to interpret!

Adapted from slides by Fei-Fei Li, Justin Johnson, Serena Young, from CS 2314

**Visualizing Weights** 

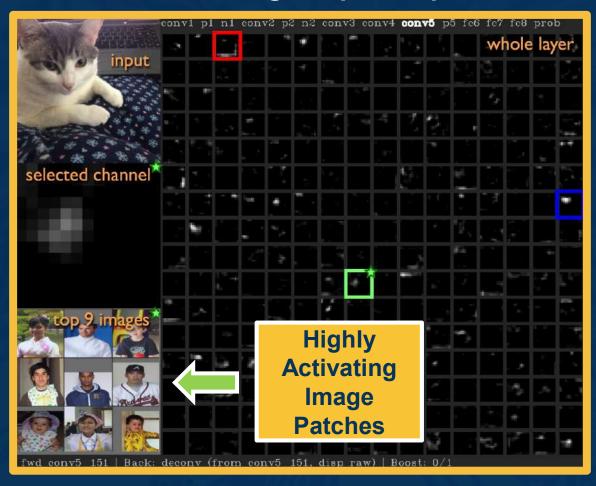
We can also produce visualization output (aka activation/filter) maps

These are **larger** early in the network.





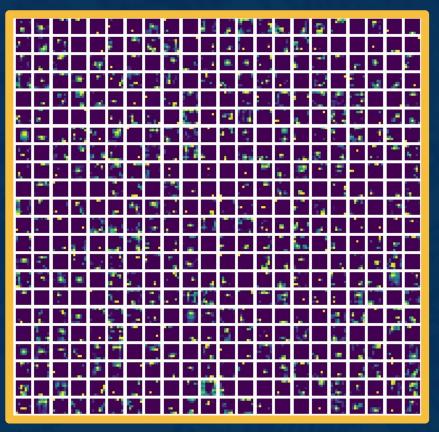
#### **Visualizing Output Maps**



From: Yosinski et al., "Understanding Neural Networks Through Deep Visualization",



#### **Activations – Small Output Sizes**

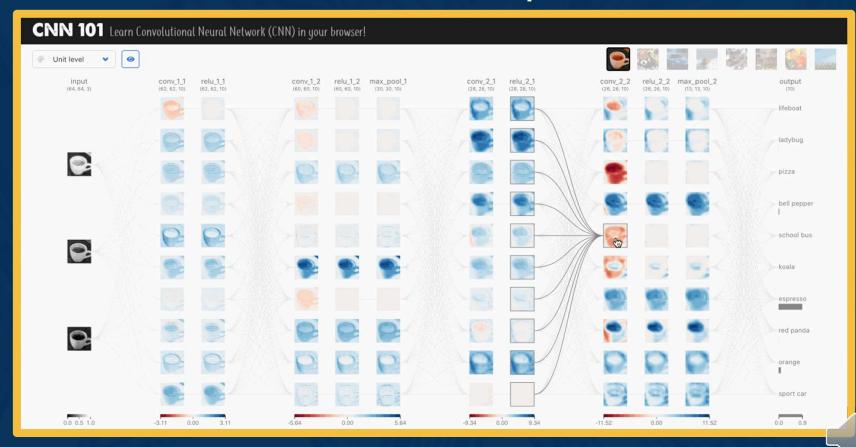


Problem: Small conv outputs also hard to interpret

Activations of last conv layer in VGG network



#### **CNN101 and CNN Explainer**



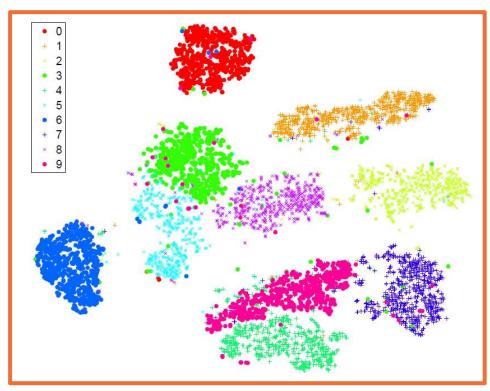
Georg

We can take the activations of any layer (FC, conv, etc.) and perform dimensionality reduction

- Often reduce to two dimensions for plotting
- E.g. using Principle Component Analysis (PCA)

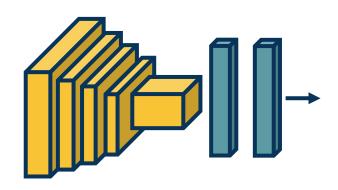
#### t-SNE is most common

 Performs non-linear mapping to preserve pair-wise distances



Van der Maaten & Hinton, "Visualizing Data using t-SNE", 2008.





#### Weights



Fei-Fei Li, Justin Johnson, Serena Yeung, from CS

car



Zeiler & Fergus, 2014

#### **Activations**



**Gradients** 



Simonyan et al, 2013

#### Robustness



Hendrycks & Dietterich, 2019

**Visualizing Neural Networks** 



#### **Summary & Caveats**

While these methods provide **some** visually interpretable representations, they can be misleading or uninformative (Adebayo et al., 2018)

Assessing interpretability is difficult

- Requires user studies to show usefulness
- E.g. they allow a user to predict mistakes beforehand

Neural networks learn distributed representation

- (no one node represents a particular feature)
- This makes interpretation difficult

Adebayo et al., "Sanity Checks for Saliency Maps", 2018.

