

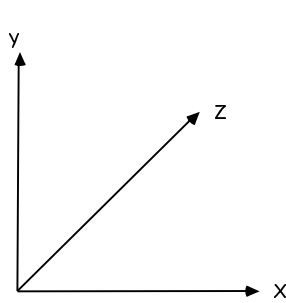
# 3-D Mathematical Preliminaries

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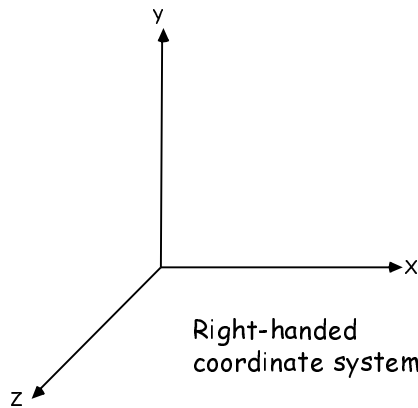


# 3D Coordinate Systems

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Left-handed  
coordinate system



Right-handed  
coordinate system

## 3-D Vectors



Have length and direction

$$\mathbf{V} = [x_v, y_v, z_v]$$

Length is given by the Euclidean Norm

$$\|\mathbf{V}\| = \sqrt{(x_v^2 + y_v^2 + z_v^2)}$$

Dot Product  $\mathbf{V} \cdot \mathbf{U} = [x_v, y_v, z_v] \cdot [x_u, y_u, z_u]$

$$= x_v x_u + y_v y_u + z_v z_u$$

$$= \|\mathbf{V}\| \|\mathbf{U}\| \cos \beta$$

Cross Product  $\mathbf{V} \times \mathbf{U} = [y_v u_z - z_v u_y, -x_v u_z + z_v u_x, x_v u_y - y_v u_x]$

$$\mathbf{V} \times \mathbf{U} = -(\mathbf{U} \times \mathbf{V})$$

## Parametric Definition of a Line

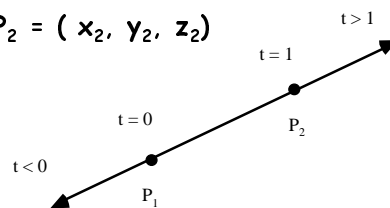


Given two points:  $P_1 = (x_1, y_1, z_1)$ ,  $P_2 = (x_2, y_2, z_2)$

$$x = x_1 + t(x_2 - x_1)$$

$$y = y_1 + t(y_2 - y_1)$$

$$z = z_1 + t(z_2 - z_1)$$



Given a point  $P_1$  and a vector  $\mathbf{V} = [x_v, y_v, z_v]$

$$x = x_1 + t x_v, \quad y = y_1 + t y_v, \quad z = z_1 + t z_v$$

Short form:  $L = P_1 + t[P_2 - P_1]$  or  $L = P_1 + Vt$

## Equation of a plane: $Ax + By + Cz + D = 0$

Normalized Form:  $A'x + B'y + C'z + D' = 0$   
 where  $A' = A/d$ ,  $B' = B/d$ ,  $C' = C/d$ ,  $D' = D/d$   
 $d = \sqrt{A^2 + B^2 + C^2}$

Distance between a point and the plane is given by  
 $A'x + B'y + C'z + D'$  (sign indicates which side)

$[A, B, C]$  is the normal vector

Proof: Given  $P_1$  and  $P_2$  in the plane,  $[P_2 - P_1]$  is in the plane and  
 $[A, B, C] \cdot [P_2 - P_1] = (Ax_2 + By_2 + Cz_2) - (Ax_1 + By_1 + Cz_1)$   
 $= (-D) - (-D)$   
 $= 0$

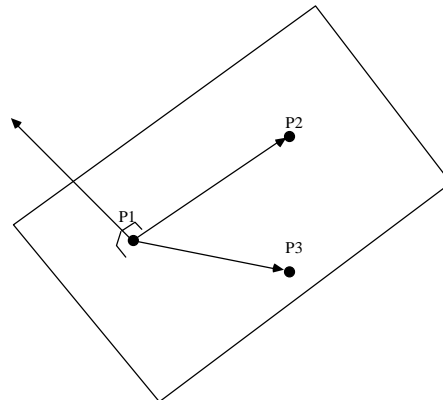
## Derivation of Plane Equation

To derive equation of the  
 plane given three points:  
 $P_1, P_2, P_3$

$[P_3 - P_1] \times [P_2 - P_1] = \mathbf{N}$ ,  
 orthogonal vector

Given a point  $P = (x, y, z)$

$\mathbf{N} \cdot [P - P_1] = 0$   
 if  $P$  is in the plane.



## Homogeneous Coordinates

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- Represent transformations as matrices
  - ▮ easier to manipulate and use
- Use 3 x 3 matrices for 2D xform
  - ▮ needed to represent a translation as a matrix operation
- Represent points as 1 x 3 matrices
  - ▮ point  $P = (x, y, 1)$

## Homogeneous 3-D Coordinates

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( $T$  is any transformation,  $P$  is any point)

$$TP = T(x, y, z, 1) = (x', y', z', w)$$

Homogenize the result:

$$P_h = (x'/w, y'/w, z'/w, 1)$$

## Rotation About the Origin

$$\sin(A + B) = y_2/r$$

$$\cos(A + B) = x_2/r$$

$$\sin A = y_1/r, \cos A = x_1/r$$

From the double angle formulas

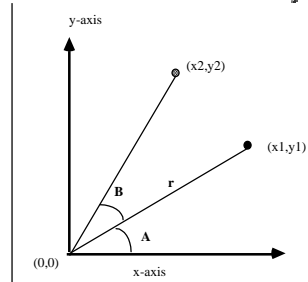
$$\sin(A + B) = \sin A \cos B + \cos A \sin B$$

$$\therefore y_2/r = (y_1/r)\cos B + (x_1/r)\sin B$$

$$y_2 = x_1 \sin B + y_1 \cos B$$

Similarly

$$x_2 = x_1 \cos B - y_1 \sin B$$



## 2D Rotation

$$R_\theta = \begin{pmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

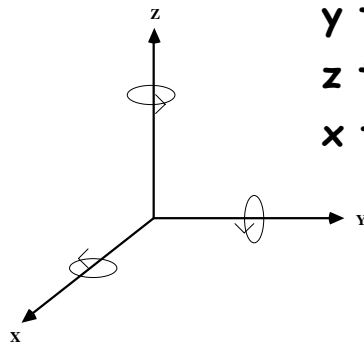
# 3D Rotations



Axis of rotation is

Direction of positive rotation is

**x**  
**y**  
**z**



**y to z**  
**z to x**  
**x to y**

# 3D Rotations



**About the z axis**  $R_z(\beta) P = \begin{pmatrix} \cos\beta & -\sin\beta & 0 & 0 \\ \sin\beta & \cos\beta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} (x) \\ (y) \\ (z) \\ (1) \end{pmatrix}$

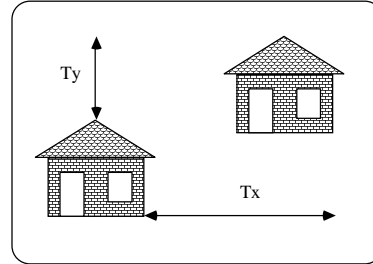
**About the x axis**  $R_x(\beta) P = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos\beta & -\sin\beta & 0 \\ 0 & \sin\beta & \cos\beta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} (x) \\ (y) \\ (z) \\ (1) \end{pmatrix}$

**About the y axis**  $R_y(\beta) P = \begin{pmatrix} \cos\beta & 0 & \sin\beta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin\beta & 0 & \cos\beta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} (x) \\ (y) \\ (z) \\ (1) \end{pmatrix}$

## Translations



- Translation = moving an object
- Translate object
  - ▮ translate each vertex
- Translate point
  - ▮ add translation  $(t_x, t_y)$  to vertex  $(x_1, y_1)$



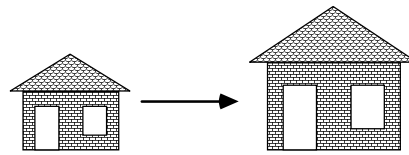
$$x_2 = x_1 + t_x$$

$$y_2 = y_1 + t_y$$

## Scaling



- Scaling = changing the size of an object
- Scale object
  - ▮ scale each vertex
- Scale point
  - ▮ multiply scale factor  $(s_x, s_y)$  by vertex  $(x_1, y_1)$



$$x_2 = s_x x_1$$

$$y_2 = s_y y_1$$

## Scale and Translation

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$$S = \begin{pmatrix} S_x & 0 & 0 \\ 0 & S_y & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$T = \begin{pmatrix} 1 & 0 & T_x \\ 0 & 1 & T_y \\ 0 & 0 & 1 \end{pmatrix}$$

## Scale

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$$SP = (s_x x, s_y y, s_z z)$$

$$\begin{pmatrix} s_x & 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$

$$\begin{pmatrix} 0 & s_y & 0 & 0 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$

$$\begin{pmatrix} 0 & 0 & s_z & 0 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$

$$\begin{pmatrix} 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$



## Translation

$$TP = (x + t_x, y + t_y, z + t_z)$$

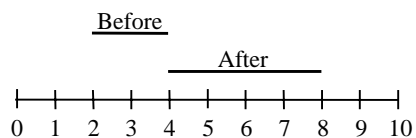
$$\begin{array}{cccccc} (1 & 0 & 0 & t_x) & (x) \\ (0 & 1 & 0 & t_y) & (y) \\ (0 & 0 & 1 & t_z) & (z) \\ (0 & 0 & 0 & 1) & (1) \\ & & T & & P \end{array}$$

## Composite Transformations

### ■ Problem:

■ scale transformation moves the object being scaled

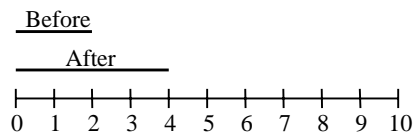
■ i.e. scale the line  $[(2, 1), (4,1)]$  by  $2x$



## Composite Transforms (cont.)



- Notice: scale line  $[(0, 1), (2, 1)]$  by  $2x$   
 $\Rightarrow$  left end does not move

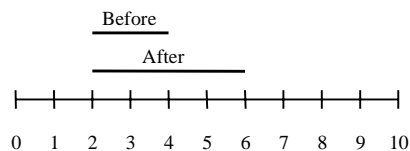


$(0,0)$  is a *fixed point* for the scaling transformation  
Use composite transformations to create scale transformations with different fixed points

## Fixed Point Scaling



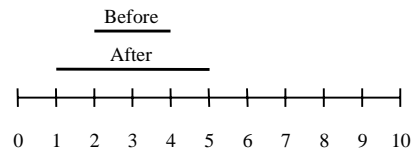
- Scale by 2 with fixed point =  $(2,1)$ 
  - Translate the point  $(2,1)$  to the origin
  - Scale by 2
  - Translate origin to point  $(2,1)$



## More Fixed Point Scaling



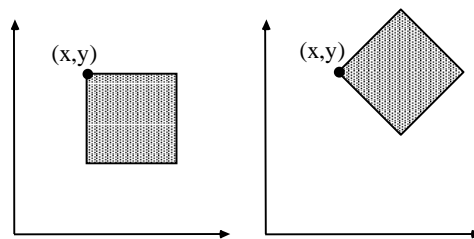
- Scale by 2 with fixed point = (3,1)
  - ▮ Translate the point (3,1) to the origin
  - ▮ Scale by 2
  - ▮ Translate origin to point (3,1)



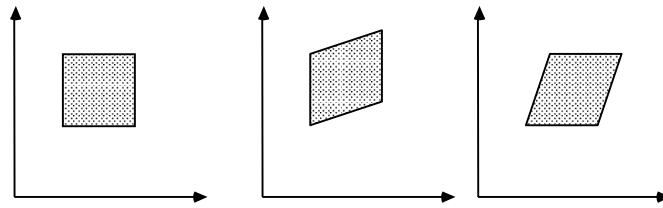
## Rotation About a Fixed Point



- Rotation Of  $\theta$  Degrees About Point (x,y)
  - ▮ Translate (x,y) to origin
  - ▮ Rotate by  $\theta$
  - ▮ Translate origin to (x,y)



## Shears



Original Data   y Shear   x Shear

e.g., *GRAPHICS*  $\Rightarrow$  x shear  $\Rightarrow$  *GRAPHICS*

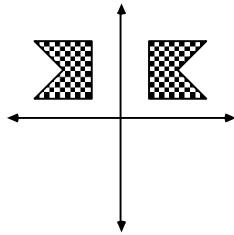
## Shears

$$\begin{array}{l} SH_{xy}P = \begin{pmatrix} 1 & 0 & sh_x & 0 \\ 0 & 1 & sh_y & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix} \end{array}$$

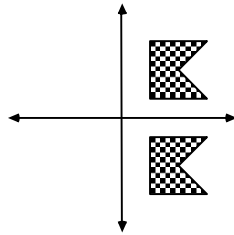
# Reflections



Y-axis



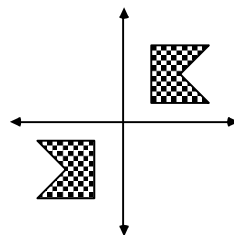
X-axis



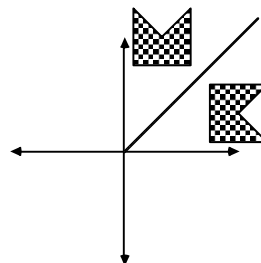
# More Reflections



Origin



$y=x$



## Rotation About An Arbitrary Axis

1. Translate one end of the axis to the origin

$$U = [P_2 - P_1] = [u_1, u_2, u_3]$$

Some useful values:

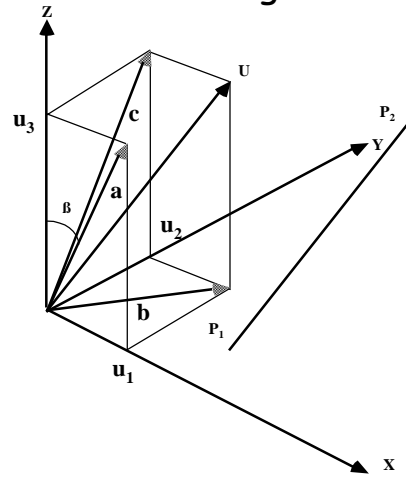
$$a = \sqrt{u_1^2 + u_3^2}$$

$$b = \sqrt{u_1^2 + u_2^2}$$

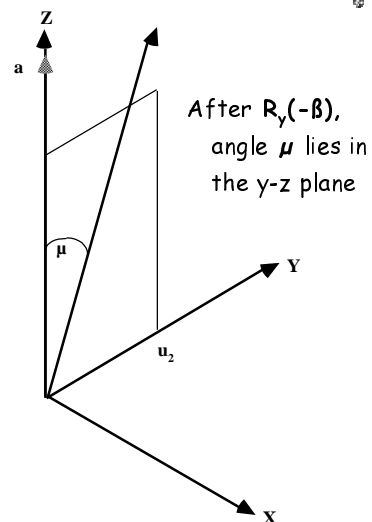
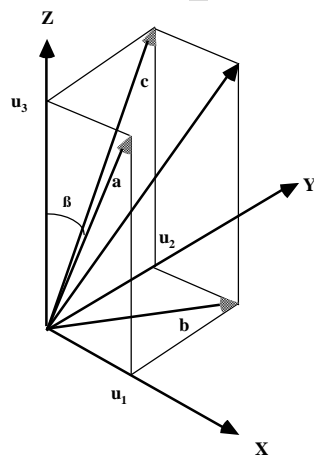
$$c = \sqrt{u_2^2 + u_3^2}$$

$$\cos \beta = u_3/a$$

$$\sin \beta = u_1/a$$



2. Rotate  $-\beta$  degrees about the y-axis

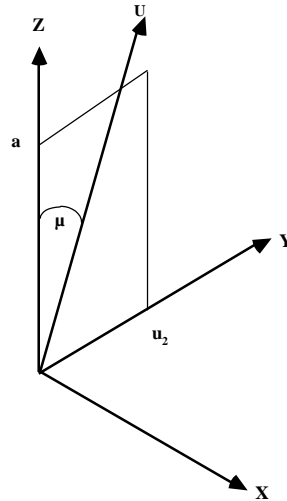


### 3. Rotate $-\mu$ degrees about the x-axis

$R_x(\mu)$

$$\cos \mu = a/||u||$$

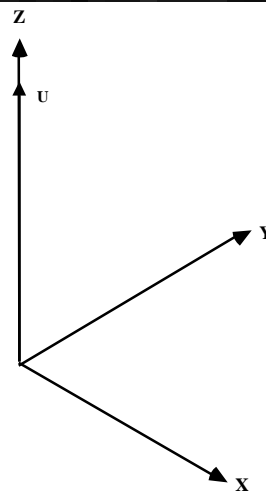
$$\sin \mu = u_2/||u||$$



### 4. Rotate R degrees about the z-axis

U is aligned with the z-axis  
Apply the original rotation,  $R$

5. Apply the inverses of the transformations in reverse order.



## Rotation About an Arbitrary Axis

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$$\mathbf{T}^{-1} R_y(\beta) R_x(-\mu) R R_x(\mu) R_y(-\beta) \mathbf{T}$$

## Alternate view of the Rotation Matrix

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Given  $P_1, P_2, P_3$

$P_1P_2$  is direction,  $P_1P_3$  is "up"

$$\mathbf{R}_{cc} = \begin{pmatrix} r_{1x} & r_{2x} & r_{3x} & 0 \\ r_{1y} & r_{2y} & r_{3y} & 0 \\ r_{1z} & r_{2z} & r_{3z} & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$



## Alternate view of the Rotation Matrix

Z axis rotates to be aligned with  $P_1P_2$

$$R_z = [r_{3x}, r_{3y}, r_{3z}] = \text{normalized } P_1P_2$$

X axis rotates to be normal to  $P_1, P_2, P_3$  plane

$$R_x = [r_{1x}, r_{1y}, r_{1z}] = \text{normalized } P_1P_3 \times P_1P_2$$

Y axis rotates to be normal to  $R_x R_z$  plane

$$R_y = [r_{2x}, r_{2y}, r_{2z}] = \text{normalized } R_z \times R_x$$