# Help Me Understand You: Addressing the Speech Recognition Bottleneck

Rebecca Passonneau,\* Susan Epstein† and Joshua Gordon\*
\*Columbia University

<sup>†</sup> Hunter College and The Graduate Center of The City University of New York

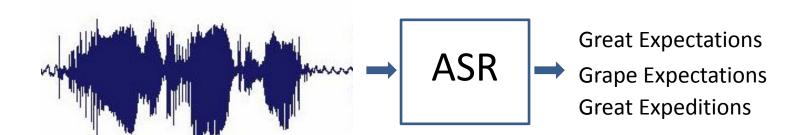
### Jeopardy: Text through a Noisy Channel



### Domain Knowledge Helps: *PERSON* + C\_A\_MPIO\_



### Automatic Speech Recognition (ASR): A Noisy Channel



#### **ASR for Book Titles**

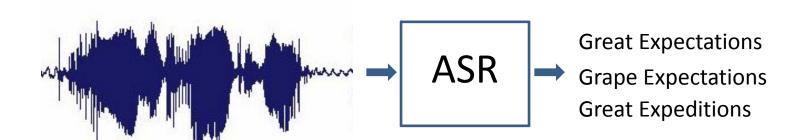
INTO THAN NINE
OF 5 PEOPLE UNION HEAVEN
WHAT HEART INTO
ABORT BANDIT
SWEET NINE STORIES
HUMOROUS REMEMBER THIS
ELUSIVE TOTAL NAH
DOING SORROW RUN
PEOPLE EXIT
ROLL DWELL

#### **Google Books**

TO THE NINES
THE 5 PEOPLE YOU MEET IN HEAVEN
POUR YOUR HEART INTO IT
BALD BANDIT
SWEET LAND STORIES
HUMOROUS TEXTS

- ?
- ?
- 2
- ?

### Automatic Speech Recognition (ASR): A Noisy Channel



#### **ASR for Book Titles**

INTO THAN NINE
OF 5 PEOPLE UNION HEAVEN
WHAT HEART INTO
ABORT BANDIT
SWEET NINE STORIES
HUMOROUS REMEMBER THIS
ELUSIVE TOTAL NAH
DOING SORROW RUN
PEOPLE EXIT
ROLL DWELL

#### Google Books 2/10

TO THE NINES
THE 5 PEOPLE YOU MEET IN HEAVEN
POUR YOUR HEART INTO IT
BALD BANDIT
SWEET LAND STORIES
HUMOROUS TEXTS
?
?

#### Outline

- Wizard ablation
- CheckItOut dialogue system and application domain
- Pilot experiment: book title recognition
  - ASR noisy channel
  - Domain knowledge of book titles
- Results
  - Correct title found 70%
- Discussion
  - Previous work: sometimes erroneous ASR best ignored
  - Our pilot: erroroneous recognition useful for retrieval
- Current and future work

### Loqui Dialogue Project: Wizard Ablation

Wizard with ASR Wizard input and Wizard System with ASR input restricted output Similarity of wizard to system Adapt conventional Wizard of Oz (WOz) paradigm Ideal human-machine dialogue will differ from human-human dialogue Ablated wizards apply human intelligence to component technologies Collect corpora (sets of dialogues) that vary in degree of ablation Evaluate dialogues across conditions (PARADISE, Walker et al 1997) For task success For user satisfaction Apply machine learning to distinct corpora Learn what ablated wizards do Determine which corpora are the best "teachers"

#### Related Work

- Learning dialogue strategies from corpora
  - •Initial work in early 2000s (Levin, Pieraccini & Eckert, 2000; Scheffler & Young 2002)
  - Has become the dominant approach for dialogue management
- WOz with ASR input to wizards
  - •Zollo 1999
  - Skantze 2003
- Other alternatives to human-human corpora
  - Simulated dialogue corpora (Schatzmann et al. 2005; Ai
    & Litman 2006)
  - •WOz + simulation (Griol et al., 2008)

### **CheckItOut Domain: Library Transactions**

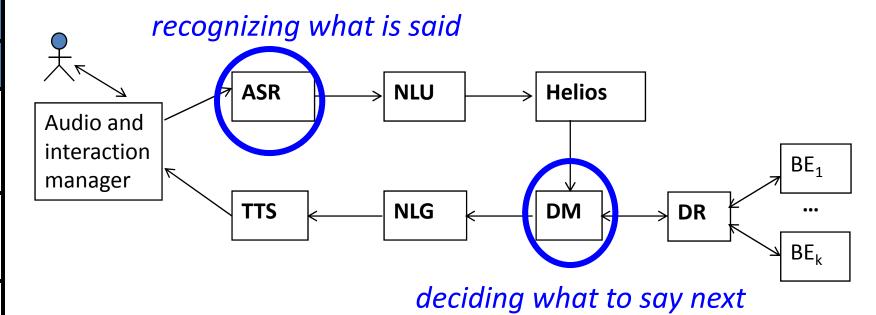
- Andrew Heiskell Braille and Talking Book Library
  - Branch of New York City Public Library
  - Branch of National Library Service
- Book transactions
  - Callers order books/cassettes by telephone
  - Orders sent/returned by U.S.P.O.
- CheckItOut database (Postgres)
  - Replica of Heiskell Library book catalogue (N=71,166)
  - Mockup of patron database for 5,028 currently active patrons
- CheckItOut Dialog Model
  - Based on Loqui Human-Human Corpus (175 recorded calls)
  - Domain independent error handling and repair
  - Domain dependent task hierarchy to guide the dialogue manager



### Loqui Human-Human Corpus: Sample Book Request

Caller: I don't think she had this <pause> particular book uh Jasons Yukon Gold Caller: She was wondering if you have that Caller: She read the sequel just now Librarian: Okay Librarian: the title is Jasons Yukon [ Gold ] Caller: [1] think so I have a number here Caller: I think it's RC <pause> one two seven eight six Caller: Is that right Librarian: mmm that's Tender Mercies Caller: okay how about this five zero two o one Caller: and I have a bunch of numbers here Librarian: Jasons Gold right Caller: oh Ji- Ja- Jasons Gold [ then ] Librarian: [yeah] Caller: yeah could you uh send that when y- if you have it <pause> t- to her

### CheckItOut Dialogue System



Carnegie Mellon University's Olympus/Ravenclaw

ASR: Automatic Speech Recognition

**NLU: Natural Language Understanding** 

Helios: Confidence Annotation DM: Ravenclaw dialog manager

DR: Domain Reasoner

NLG: Natural Language Generation

TTS: Text-to-speech synthesis

### Pilot Study: Offline Wizards Interpret ASR for Booktitles

- Participants
  - Callers: two undergraduates at Hunter College (A, B), one researcher (D)
  - Offline wizards: three Hunter undergraduates (A, B, C)
- Recognizer data
  - Dictionary of words based on 500 titles (1400 words)
  - Unigram frequencies (individual words, no bigrams, trigrams)
- Materials
  - Three disjoint sets of 50 titles
  - Each caller produced ASR for one set of titles
  - Each wizard received ASR for one title set (wizard ≠ caller)
  - Each wizard received a text file of the full title list (N=71,166)
- ASR performance in Word Error Rate (WER)
  - D: 0.69
  - A: 0.75
  - B: 0.83
- Task
  - For each ASR string, find the most likely title
  - Document their thoughts

### **Moderately Difficult Examples**

INTO THAN NINE TO THE NINES

INTO THE INFERNO INTO THE NIGHT INTO THE WILD

OF 5 PEOPLE UNION HEAVEN THE 5 PEOPLE YOU MEET IN HEAVEN

NO TELEPHONE TO HEAVEN A LONG WAY FROM HEAVEN

DO THEY WEAR HIGH HEELS IN HEAVEN

ROLL DWELL CROMWELL

**ROBERT LOWELL** 

ROAD TO WELLVILLE

ROAD TO WEALTH

### **Difficult Examples**

WHAT HEART INTO

WHAT THE HEART KNOWS

THE LAST INHERITOR

A PRIVATE VIEW

ELUSIVE TOTAL NAH

LUSITANIA

THE ELUSIVE FLAME

I LIVED TO TELL IT ALL

PEOPLE EXIT

PEOPLE IN TROUBLE

PEOPLE VERSUS KIRK

THE ODES OF PINDAR

#### Results

|             | Wizard A Wizard B |       | ard B | Wizard C |       |       |
|-------------|-------------------|-------|-------|----------|-------|-------|
| Category    | Count             | %     | Count | %        | Count | %     |
| Correct     | 30                | 66.7  | 33    | 71.7     | 33    | 71.7  |
| Ambiguous   | 0                 | 0.0   | 4     | 8.7      | 0     | 0.0   |
| Incorrect   | 7                 | 15.5  | 1     | 2.2      | 13    | 28.3  |
| No response | 8                 | 17.8  | 8     | 17.4     | 0     | 0.0   |
| Total       | 45                | 100.0 | 46    | 100.0    | 46    | 100.0 |

- Wizards are correct 70% of the time on average
- Wizards behaved differently when uncertain
  - A: about evenly divided between "Incorrect" and "No response"
  - B: same proportion of "No response" as A; identified "Ambiguous" cases
  - C: always responded -- higher proportion of "Incorrect"

# Strategies

|                                      |    | 7  | Е  | 3   | C  | <u>;                                    </u> |
|--------------------------------------|----|----|----|-----|----|--|
|                                      | #  | %  | #  | %   | #  | %  |
| Word hits                            | 11 | 24 | 17 | 37  | 13 | 28   |
| Lexical Rarity                       | 5  | 11 | 3  | 7   | 0  | 0  |
| Word hits +location                  | 2  | 4  | 3  | 7   | 13 | 28   |
| Word hits +lexical rarity            | 1  | 2  | 5  | 11  | 2  | 4  |
| Word hits +lexical rarity + location | 11 | 24 | 5  | 11  | 0  | 0  |
| Phonetic                             | 8  | 18 | 6  | 13  | 1  | 2  |
| Semantic                             | 1  | 2  | 1  | 2   | 0  | 0  |
| Other                                | 6  | 13 | 6  | 13  | 17 | 37   |
| Totals                               | 45 | 99 | 46 | 100 | 45 | 100  |

#### Discussion

- Previous work: erroneous ASR can sometimes be ignored
  - Zollo 1999, evacuation plan
    - •7 WOz dialogues
    - WER=0.30
    - Wizards signaled a misunderstanding only 35% of the time that the ASR was incorrect
  - Skantze 2003, navigation task
    - 40 dialogues (5 scenarios per 8 distinct wizard/user pairs)
    - WER=0.42
    - Wizards rarely signaled misunderstanding (5% overall)
    - Wizards responded to non-understanding (20% overall) by continuing a route description, asking a task related question, or asking for clarification
- Erroneous ASR should be incorporated into backend retrieval, cf:
  - Machine Translation + Information Retrieval
  - Voice search, e.g., mobile devices with access to web
  - String matching with errors (edit distance; soundex)

#### **Current Work**

- Online version of same experiment, 4200 data points
  - 7 participants, alternating as wizard/caller (21 \* 2 distinct pairs)
  - 5 sessions per participant
  - •20 titles per session
  - Realistic language model (7500 words, bigram model)
  - WER=0.71
  - Backend query function using string matching with errors
- Ratcliff/Obershelp string matching
  - |Matching characters|/|Total characters|
  - Matching characters = recursively find longest common subsequence of 2 or more characters

# Moderately Difficult Examples with Ratcliff/Obershelp

| INTO THAN NINE           | TO THE NINES INTO THE INFERNO INTO THE NIGHT INTO THE WILD  | 0.74<br>0.73<br>0.70<br>0.59 |
|--------------------------|---|------------------------------|
| OF 5 PEOPLE UNION HEAVEN | THE 5 PEOPLE YOU MEET IN HEAVEN NO TELEPHONE TO HEAVEN A LONG WAY FROM HEAVEN DO THEY WEAR HIGH HEELS IN HEAVEN | 0.72<br>0.57<br>0.50<br>0.45 |
| ROLL DWELL               | CROMWELL ROBERT LOWELL ROAD TO WELLVILLE ROAD TO WEALTH   | 0.67<br>0.61<br>0.52<br>0.50 |

# Difficult Examples with Ratcliff/Obserhelp

| WHAT HEART INTO   | WHAT THE HEART KNOWS THE LAST INHERITOR I CAN'T FORGET YOU A PRIVATE VIEW | 0.74<br>0.61<br>0.42<br>NA |
|-------------------|---|----------------------------|
| ELUSIVE TOTAL NAH | LUSITANIA THE ELUSIVE FLAME I LIVED TO TELL IT ALL                        | 0.62<br>0.57<br>0.56       |
| PEOPLE EXIT       | PEOPLE IN TROUBLE PEOPLE VERSUS KIRK THE ODES OF PINDAR                   | 0.64<br>0.62<br>NA         |

#### **Future Work**

Book title requests in context of full dialogue

- Recognize a "title request" utterance (examples below)
- Semantic interpretation of the utterance
  - Classification of utterance type (e.g., title request)
  - Integrate with backend query

| Examples from Transcripts         |                                 |  |  |  |
|-----------------------------------|---------------------------------|--|--|--|
| "Front Matter" of Title Utterance | Title Utterance                 | Actual Title                             |  |  |
| but it's                          | prince of beverly hills         | The Prince of Beverly Hills              |  |  |
| we were wondering if you had      | evidence that demands a verdict | Evidence that Demands a Verdict          |  |  |
| what is the                       | the next uh uh installment      | Remembrance of Things Past:<br>Volume II |  |  |
| I'd like to try um                | age of innocence                | The Age of Innocence                     |  |  |